3. Agency Component Abbreviations

|  |  |
| --- | --- |
| Component Abbreviation | Component Name |
| FRTIB | Federal Retirement Thrift Investment Board |

IV. Exemption 3 Statutes

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Statute | Type of InformationWithheld | Case Citation | Agency / Component | Number of TimesRelied uponby Agency / Component | Total Number ofTimes Relied uponby Agency Overall |
| N/A | N/A | N/A | FRTIB | 0 | 0 |

**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of RequestsPending as of Startof Fiscal Year | Number ofRequests Receivedin Fiscal Year | Number ofRequests Processedin Fiscal Year | Number of RequestsPending as of Endof Fiscal Year |
| FRTIB | 4 | 29 | 29 | 4 |
| AGENCY OVERALL | 4 | 29 | 29 | 4 |

**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions |   |
| No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other \*Explain in Chart Below | TOTAL |
| FRTIB | 6 | 5 | 0 | 9 | 0 | 5 | 0 | 1 | 1 | 0 | 2 | 0 | 29 |
| AGENCY OVERALL | 6 | 5 | 0 | 9 | 0 | 5 | 0 | 1 | 1 | 0 | 2 | 0 | 29 |

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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| FRTIB | N/A | 0 | 0 |
| AGENCY OVERALL |   |   | 0 |

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|  |

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| FRTIB | 0 | 0 | 0 | 0 | 2 | 5 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 0 | 2 | 5 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 0 |

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**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of AppealsPending as of Startof Fiscal Year | Number ofAppeals Receivedin Fiscal Year | Number ofAppeals Processedin Fiscal Year | Number of AppealsPending as of Endof Fiscal Year |
| FRTIB | 0 | 1 | 0 | 1 |
| AGENCY OVERALL | 0 | 1 | 0 | 1 |

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**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
| FRTIB | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 0 | 0 |

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**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| FRTIB | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other \*Explain in chart below |
| FRTIB | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| FRTIB | N/A | 0 | 0 |
| AGENCY OVERALL |   |   | 0 |

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**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| FRTIB | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | N/A | N/A | N/A | N/A |

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**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |   | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
| FRTIB | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2019-11-23 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 221 |
| AGENCY OVERALL | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2019-11-23 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 221 |

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**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | SIMPLE | COMPLEX | EXPEDITED PROCESSING |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| FRTIB | 20 | 24 | 9 | 123 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 20 | 24 | 9 | 123 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

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**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | SIMPLE | COMPLEX | EXPEDITED PROCESSING |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| FRTIB | 22 | 28 | 9 | 123 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 22 | 28 | 9 | 123 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

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**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20Days | 21-40Days | 41-60Days | 61-80Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+Days | TOTAL |
| FRTIB | 12 | 8 | 3 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 24 |
| AGENCY OVERALL | 12 | 8 | 3 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 24 |

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**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20Days | 21-40Days | 41-60Days | 61-80Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+Days | TOTAL |
| FRTIB | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20Days | 21-40Days | 41-60Days | 61-80Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+Days | TOTAL |
| FRTIB | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | SIMPLE | COMPLEX | EXPEDITED PROCESSING |
| Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| FRTIB | 3 | 10 | 20 | 0 | N/A | N/A | 0 | N/A | N/A |
| AGENCY OVERALL | 3 | 10 | 20 | 0 | N/A | N/A | 0 | N/A | N/A |

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**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |   | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request |
| FRTIB | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2020-09-16 | 2020-08-31 | 2020-08-18 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 21 | 30 |
| AGENCY OVERALL | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2020-09-16 | 2020-08-31 | 2020-08-18 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 21 | 30 |

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**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
| FRTIB | 0 | 0 | N/A | N/A | 0 |
| AGENCY OVERALL | 0 | 0 | N/A | N/A | 0 |

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**VIII.B. Requests for Fee Waiver**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
| FRTIB | 0 | 0 | N/A | N/A |
| AGENCY OVERALL | 0 | 0 | N/A | N/A |

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| --- |
| **IX. FOIA Personnel and Costs** |

|  |  |  |
| --- | --- | --- |
| Agency / Component | PERSONNEL | COSTS |
| Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| FRTIB | 1 | 0.00 | 1.00 | 92091.00 | 0.00 | 92091.00 |
| AGENCY OVERALL | 1 | 0.00 | 1.00 | 92091.00 | 0.00 | 92091.00 |

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| --- |
| **X. Fees Collected for Processing Requests** |

|  |  |  |
| --- | --- | --- |
| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
| FRTIB | 0.00 | 0.0000 |
| AGENCY OVERALL | 0.00 | 0.0000 |

|  |
| --- |
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| --- |
| **XI.A. Number of Times Subsection (C) Used** |

|  |  |
| --- | --- |
| Agency / Component | Number of Times Subsection Used |
| FRTIB | 0 |
| AGENCY OVERALL | 0 |

|  |
| --- |
|  |

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| --- |
| **XI.B. Number of Subsection (A)(2) Postings** |

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Records Posted by the FOIA Office | Number of Records Posted by Program Offices |
| FRTIB | 0 | 0 |
| AGENCY OVERALL | 0 | 0 |

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**XII.A. Backlogs of FOIA Requests and Administrative Appeals**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
| FRTIB | 1 | 1 |
| AGENCY OVERALL | 1 | 1 |

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**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Startof the Fiscal Year | Number ofConsultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Endof the Fiscal Year |
| FRTIB | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 0 |

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**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |   | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
| FRTIB | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

|  |  |  |
| --- | --- | --- |
| Agency / Component | NUMBER OF REQUESTS RECEIVED | NUMBER OF REQUESTS PROCESSED |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| FRTIB | 30 | 29 | 32 | 29 |
| AGENCY OVERALL | 30 | 29 | 32 | 29 |

|  |
| --- |
|  |

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| FRTIB | 3 | 1 |
| AGENCY OVERALL | 3 | 1 |

|  |
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|  |

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

|  |  |  |
| --- | --- | --- |
| Agency / Component | NUMBER OF APPEALS RECEIVED | NUMBER OF APPEALS PROCESSED |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| FRTIB | 1 | 1 | 1 | 0 |
| AGENCY OVERALL | 1 | 1 | 1 | 0 |

|  |
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|  |

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| FRTIB | 0 | 1 |
| AGENCY OVERALL | 0 | 1 |

|  |
| --- |
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