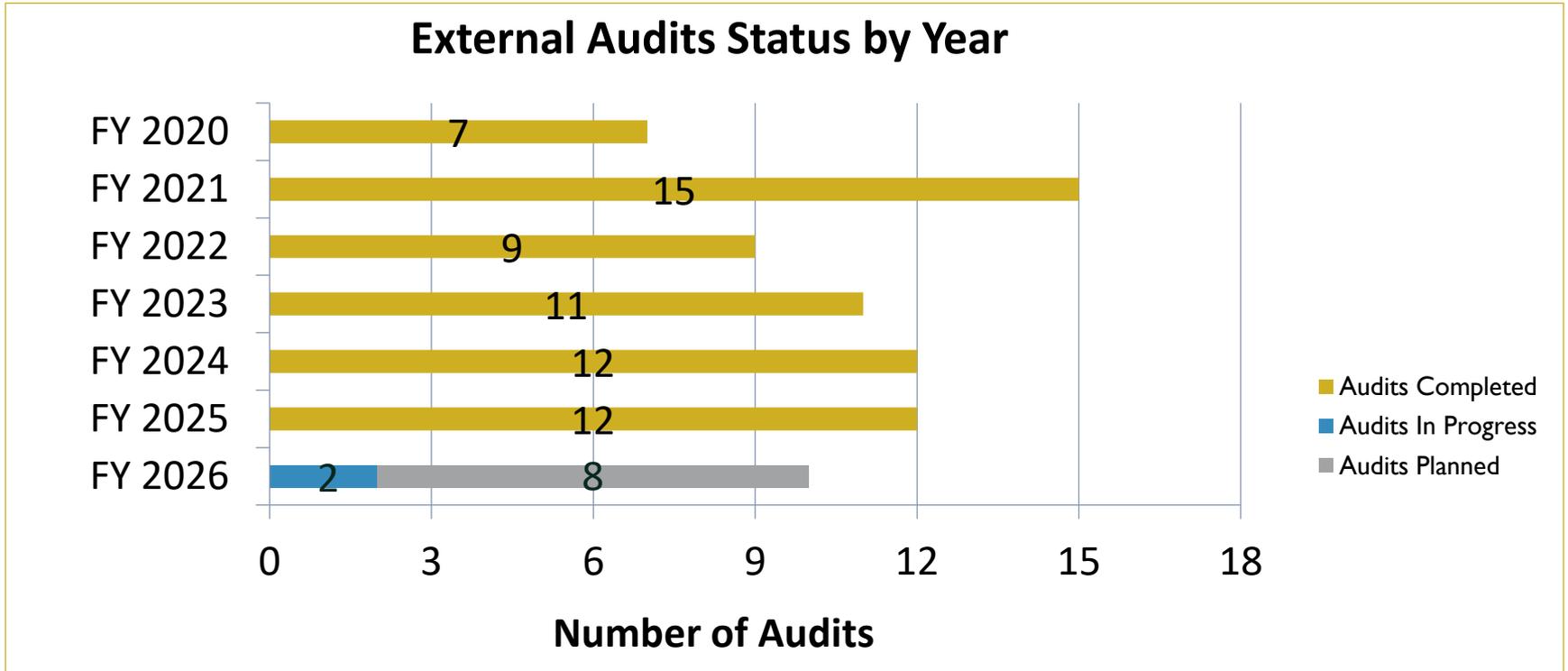


Quarterly External Audit and Remediation Status

Presented By
Trevor Williams, Chief Financial Officer
January 27, 2026

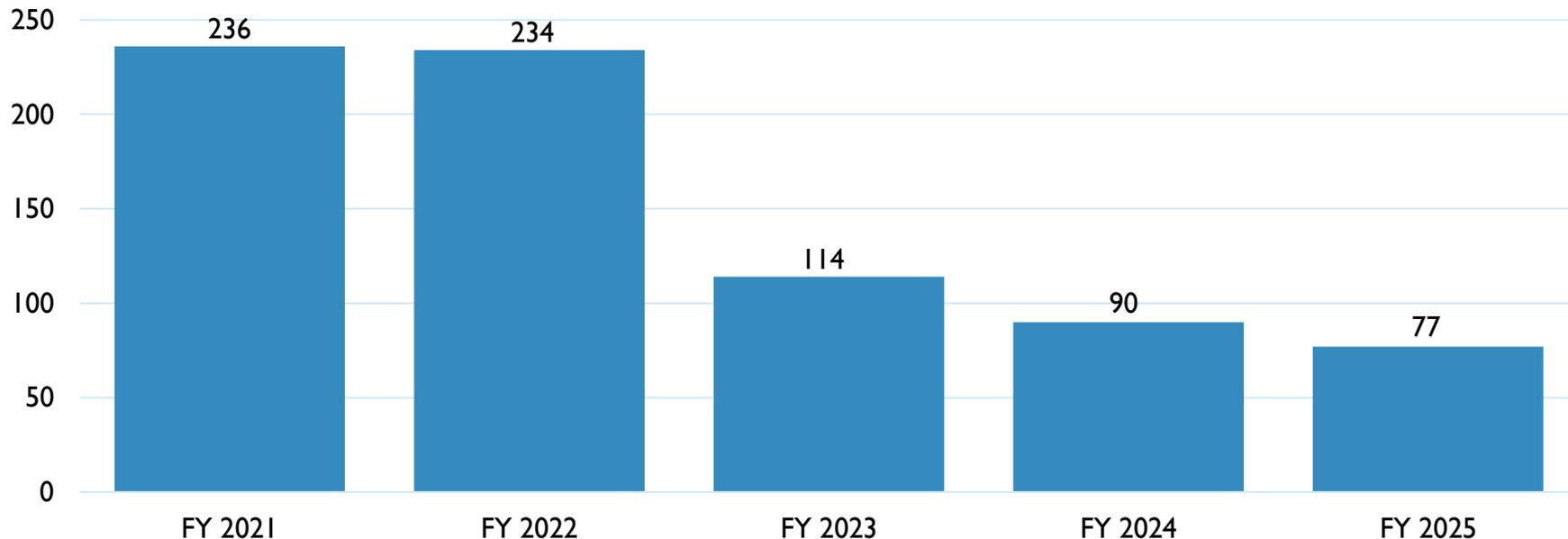
External Audit Activity (FYs 2020-2026)



External Audit Status

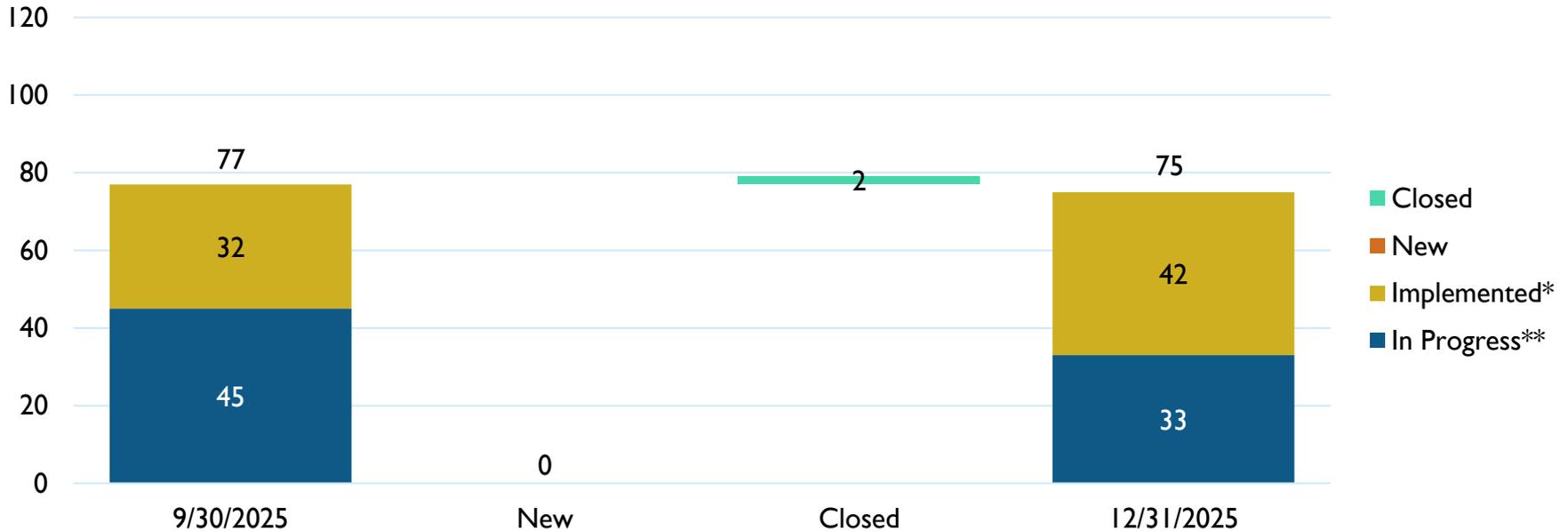
Audits Completed (FY 2026)	Audits in Progress (FY 2026)	Audits Planned (FY 2026)
	<ol style="list-style-type: none"> 1. Participant Support/Contact Center Operations 2. Mobile Device Security and Governance Review 	<ol style="list-style-type: none"> 1. Service Provider TSP Operations – Interior Business Center 2. Loan Operations 3. Participant Website Controls 4. Account Maintenance 5. Annuity Operations 6. Treasury G Fund Investment Operations 7. Annual F/S Audit (CY 2025) 8. FISMA (FY 2026) <div data-bbox="1578 743 1808 909" style="border: 1px solid black; padding: 5px; margin-top: 20px;"> <p>Auditor Legend EBSA Sikich TBD</p> </div>

Open External Auditor Recommendations FY 2021 – FY 2025



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

External Auditor Recommendations FY 2026 Q1 Activity



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

Questions

