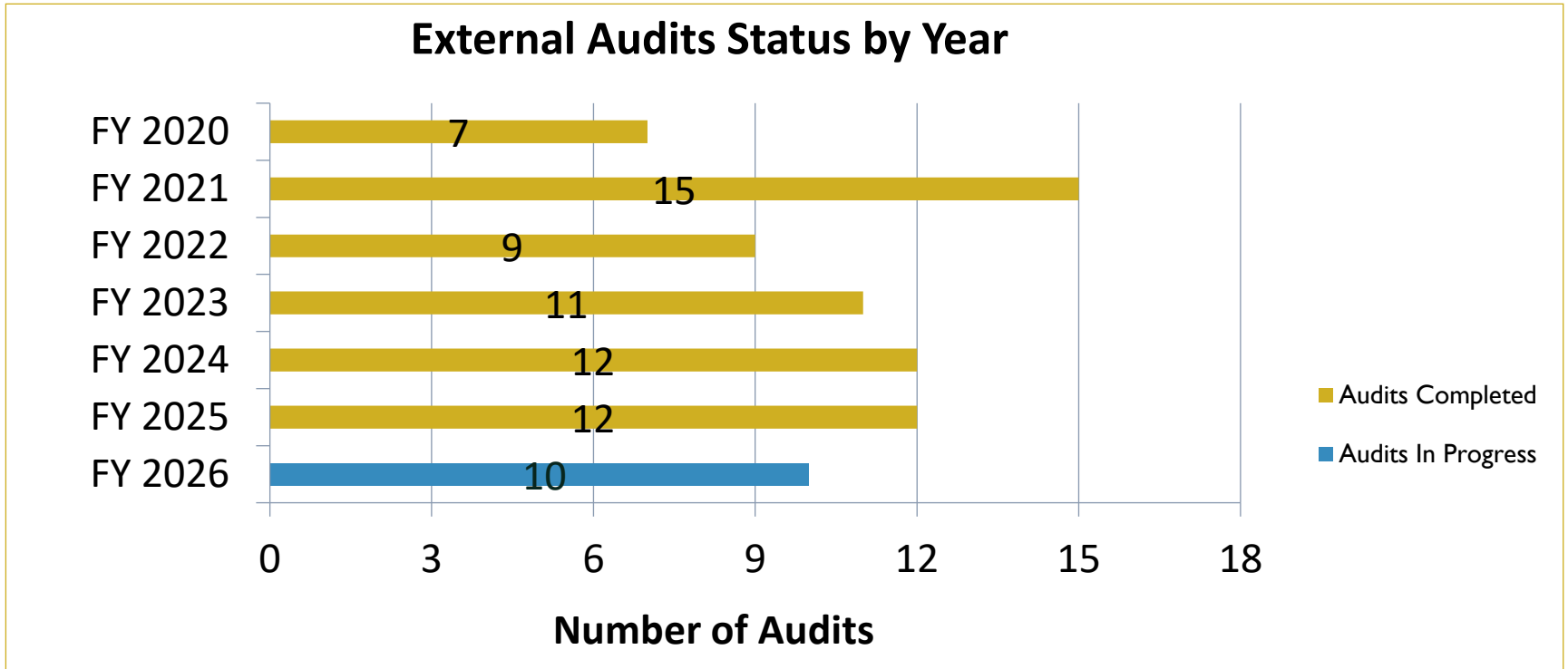


Quarterly External Audit and Remediation Status

Presented By
Trevor Williams, Chief Financial Officer
April 28, 2026

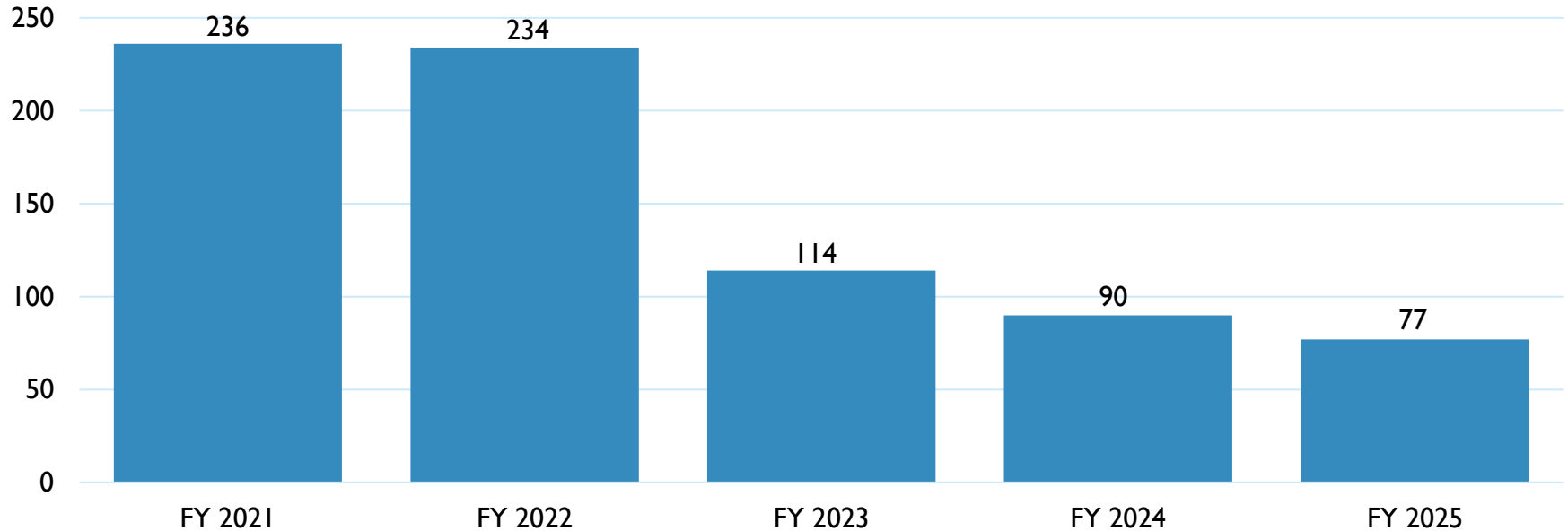
External Audit Activity (FYs 2020-2026)



External Audit Status

| Audits Completed (FY 2026) | Audits in Progress (FY 2026) | Audits Planned (FY 2026) |
|-------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|
| | <ol style="list-style-type: none">1. Participant Support/Contact Center Operations2. Mobile Device Security and Governance Review3. Service Provider TSP Operations – Interior Business Center4. Annual Financial Statement Audit (CY 2025)5. Loan Operations6. Participant Website Controls7. Annuity Operations8. Account Maintenance9. Treasury G Fund Investment Operations10. FISMA (FY 2026) | <p data-bbox="1580 743 1808 909">Auditor Legend EBSA Sikich Williams Adley</p> |

Open External Auditor Recommendations FY 2021 – FY 2025



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

External Auditor Recommendations FY 2026 Q2 Activity



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

Questions

