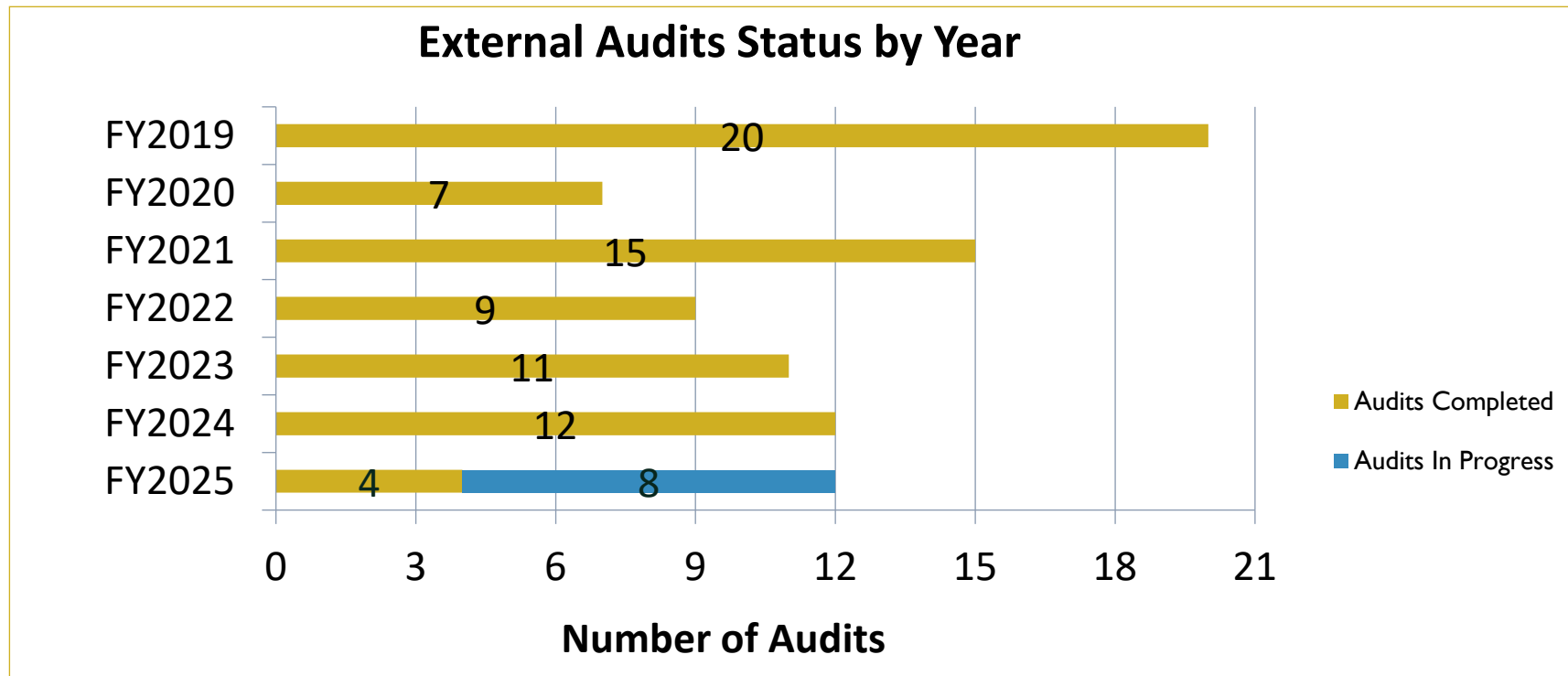


Quarterly External Audit and Remediation Status

Presented By
Trevor Williams, Chief Financial Officer
July 22, 2025

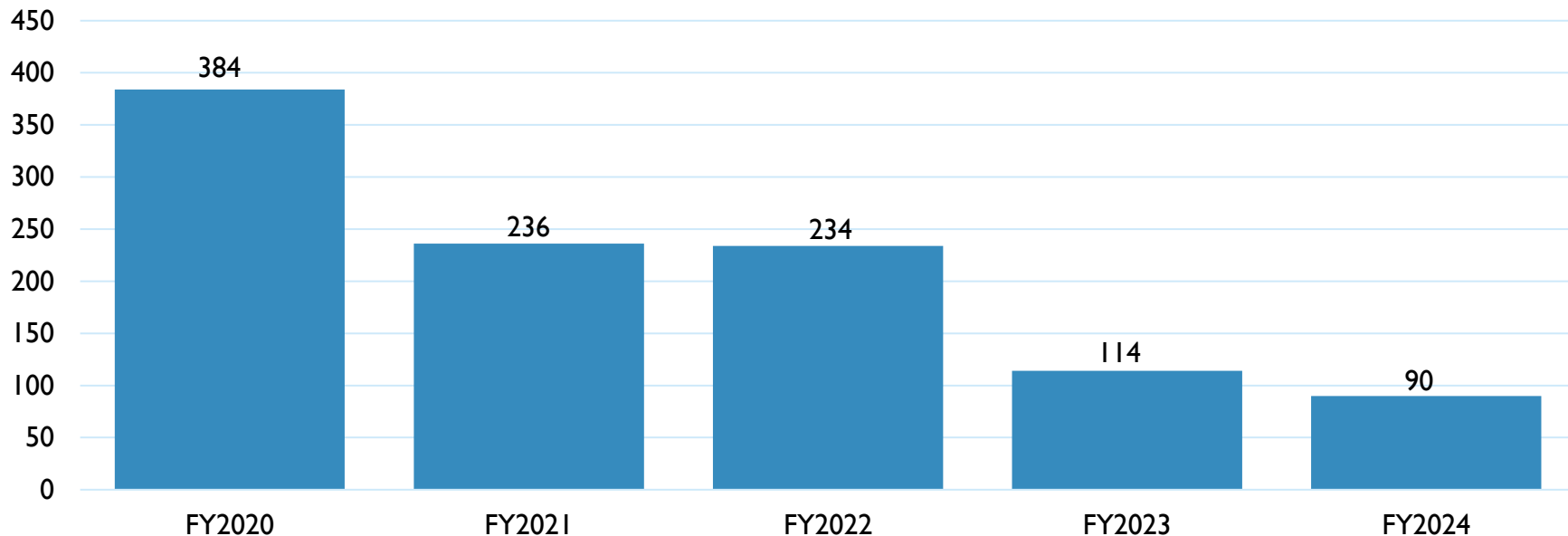
External Audit Activity (FYs 2019-2025)



External Audit Status

| Audits Completed (FY 2025) | Audits in Progress (FY 2025) | Audits Planned (FY 2025) |
|---|--|---|
| <ol style="list-style-type: none">1. Access and Data Security Controls2. Information Protection Processes and Procedures3. CaMI Security Controls4. Use of Minority and Women-owned Asset Management Firms | <ol style="list-style-type: none">1. Service Provider TSP Operations – DFAS2. Investment Management Operations – BlackRock3. Board Staff4. Detection and Monitoring Security Controls5. Vendor Risk Management and Agency Oversight Controls6. Status of Prior Year Findings7. FISMA (FY2025)8. Annual F/S Audit (CY2024) | <div>Auditor Legend EBSA Sikich Williams Adley GAO</div> |

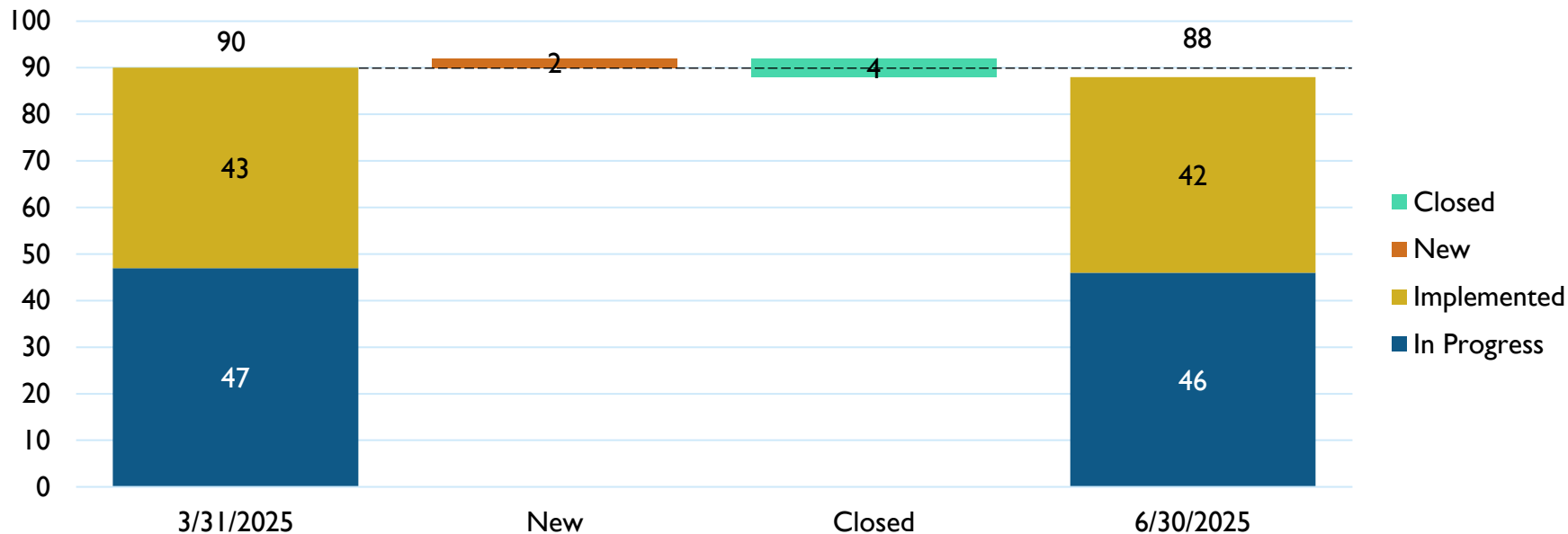
Open External Auditor Recommendations FY2020 – FY2024



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

External Auditor Recommendations

FY2025 Q3 Activity



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

* In Progress includes 6 agency non-concurs.