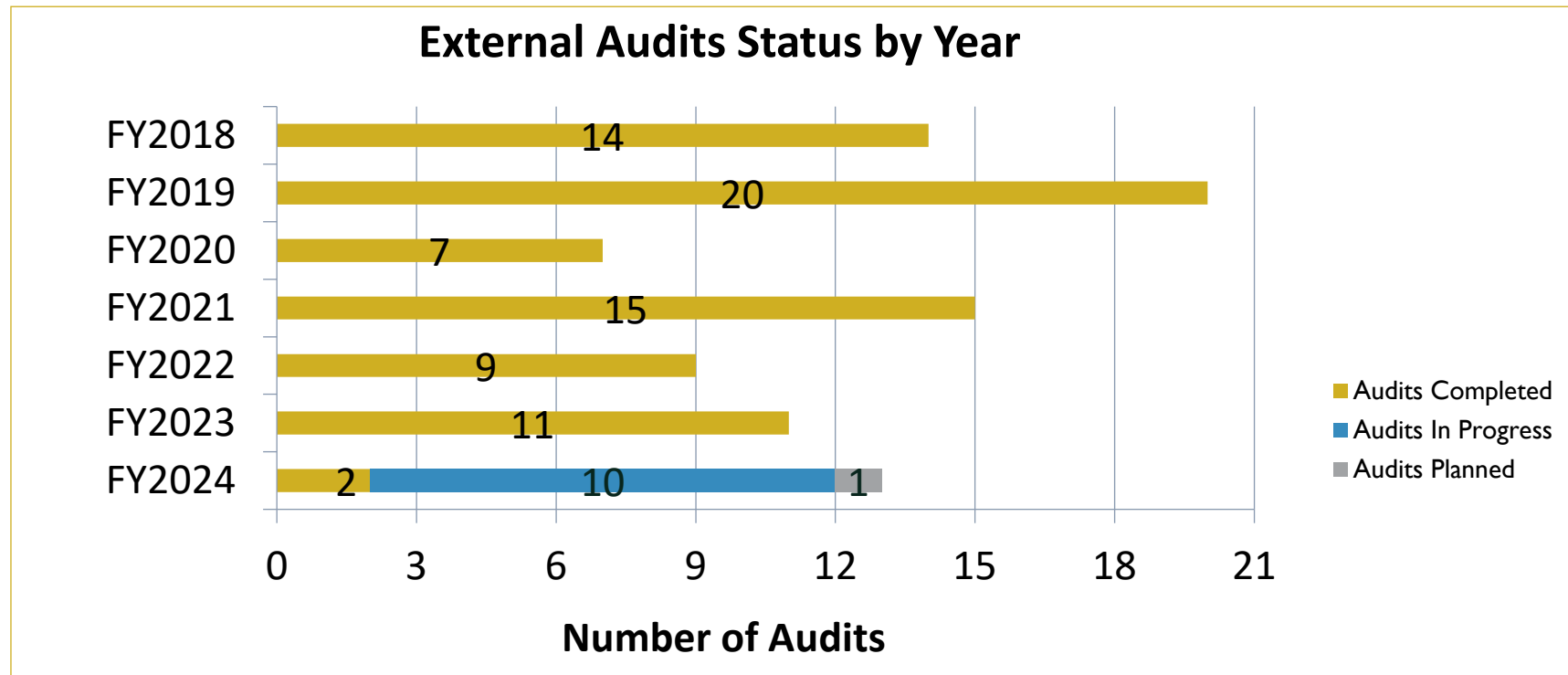


# Quarterly External Audit and Remediation Status

Presented By  
Trevor Williams, Chief Financial Officer  
July 23, 2024

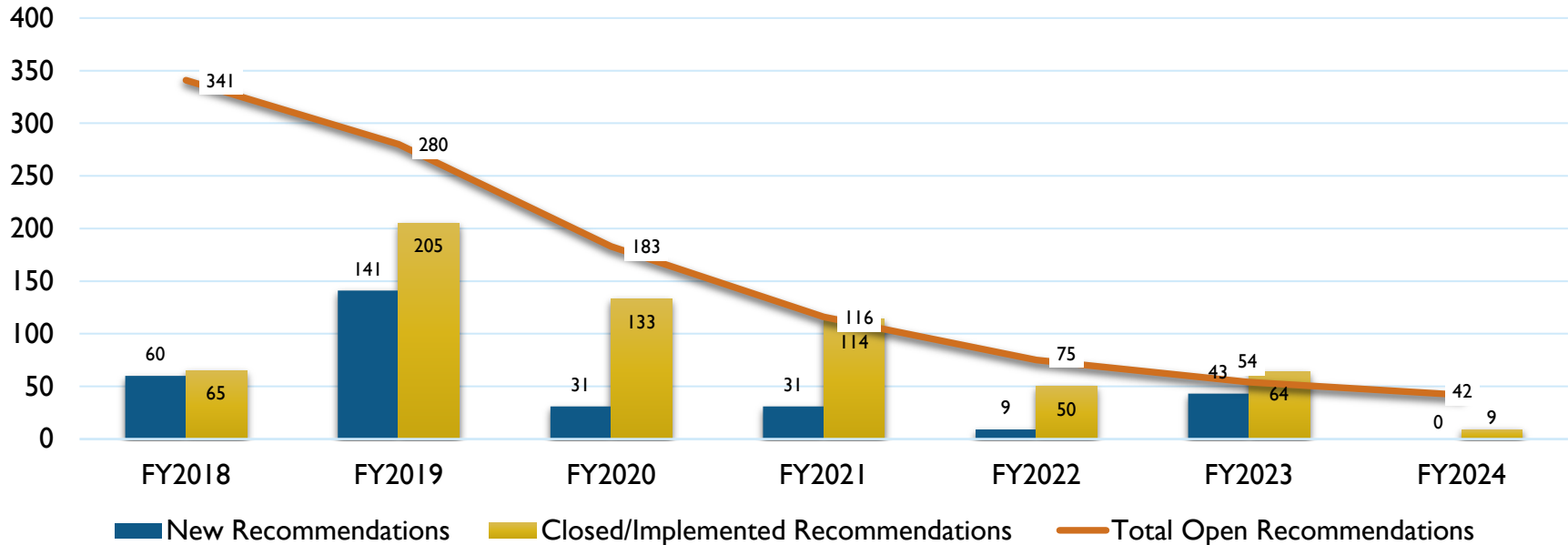
# External Audit Activity (FY2018-2024)



# External Audit Status

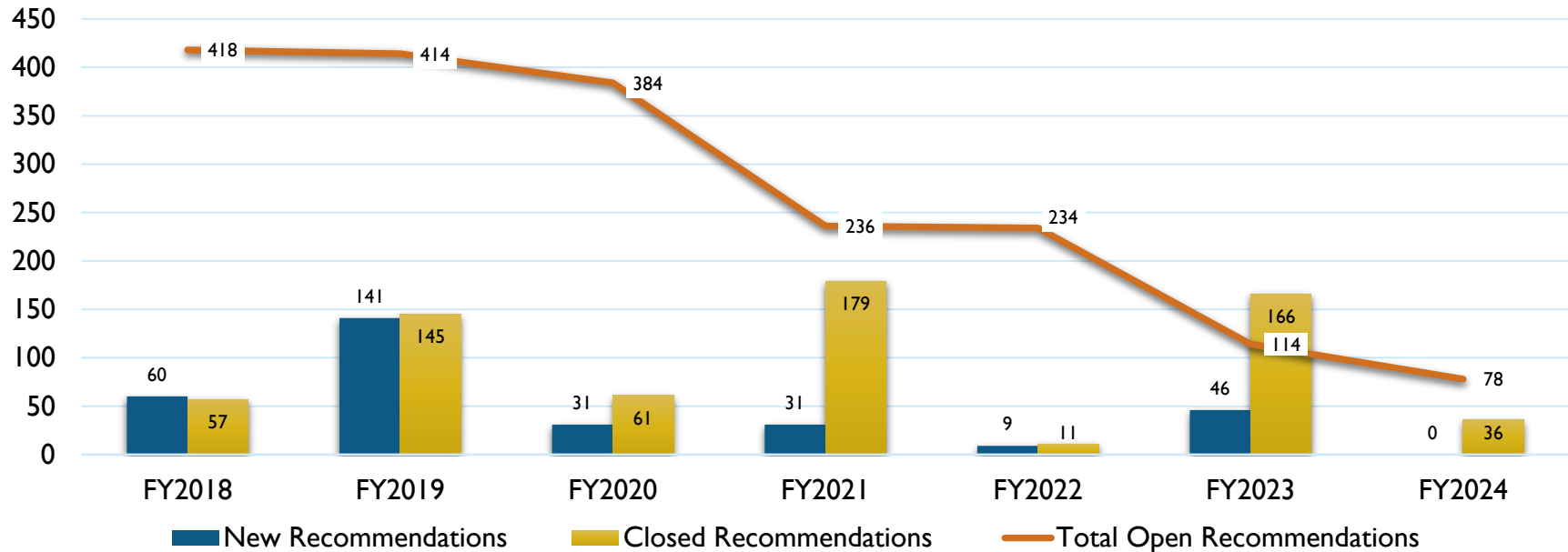
Audits Completed (FY2024)	Audits in Progress (FY2024)	Audits Planned (FY2024)
<ul style="list-style-type: none"><li>1. Mid-Year F/S Review (CY2023)</li><li>2. Annual F/S Audit (CY2023)</li></ul>	<ul style="list-style-type: none"><li>1. Review of TSP System Implementation</li><li>2. Use of Minority and Women-owned Asset Management Firms</li><li>3. Decommissioning of Legacy Systems Control</li><li>4. Participant Website</li><li>5. Mainframe Configuration and Security Controls</li><li>6. Insider Threat Controls</li><li>7. Account Maintenance</li><li>8. Payroll Service Provider TSP Operations</li><li>9. Mobile Device and Security Governance Review</li><li>10. FISMA (FY 2024)</li></ul>	<ul style="list-style-type: none"><li>I. Mid-Year F/S Review (CY2024)</li></ul> <div><b>Auditor Legend</b> EBSA CLA/Sikich Williams Adley GAO</div>

# FRTIB Audit Remediation FY2018 – FY2024



Audit activity includes audit remediation results determined as implemented by the OCFO External Audit Management Division.

# External Auditor Recommendations FY2018 – FY2024



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, FISMA, and 2015 – 2016 external agency assessments. FRTIB leadership closed all open external assessment findings and recommendations via a signed memorandum. OEA closed all open GAO audit findings and recommendations.

# Questions

