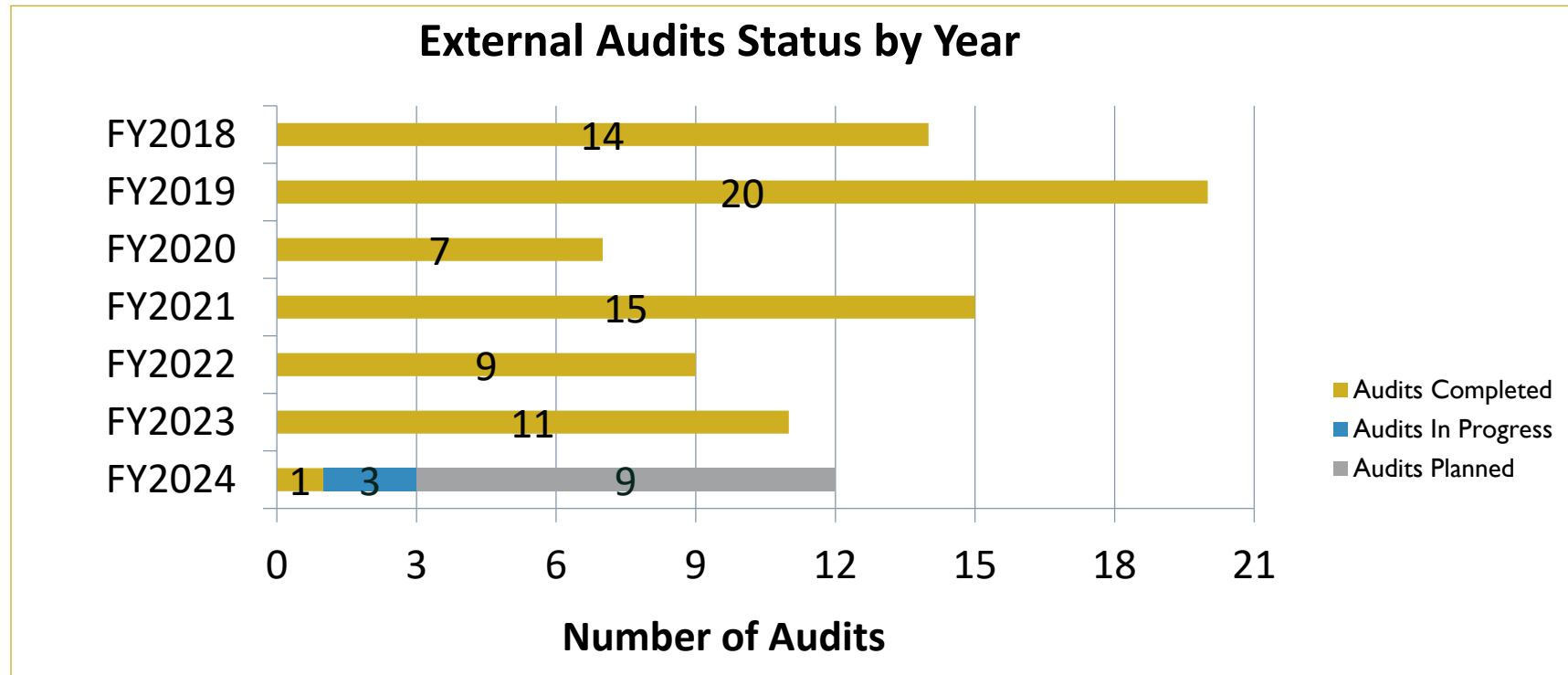


# Quarterly External Audit and Remediation Status

Presented By  
Trevor Williams, Chief Financial Officer  
January 23, 2024

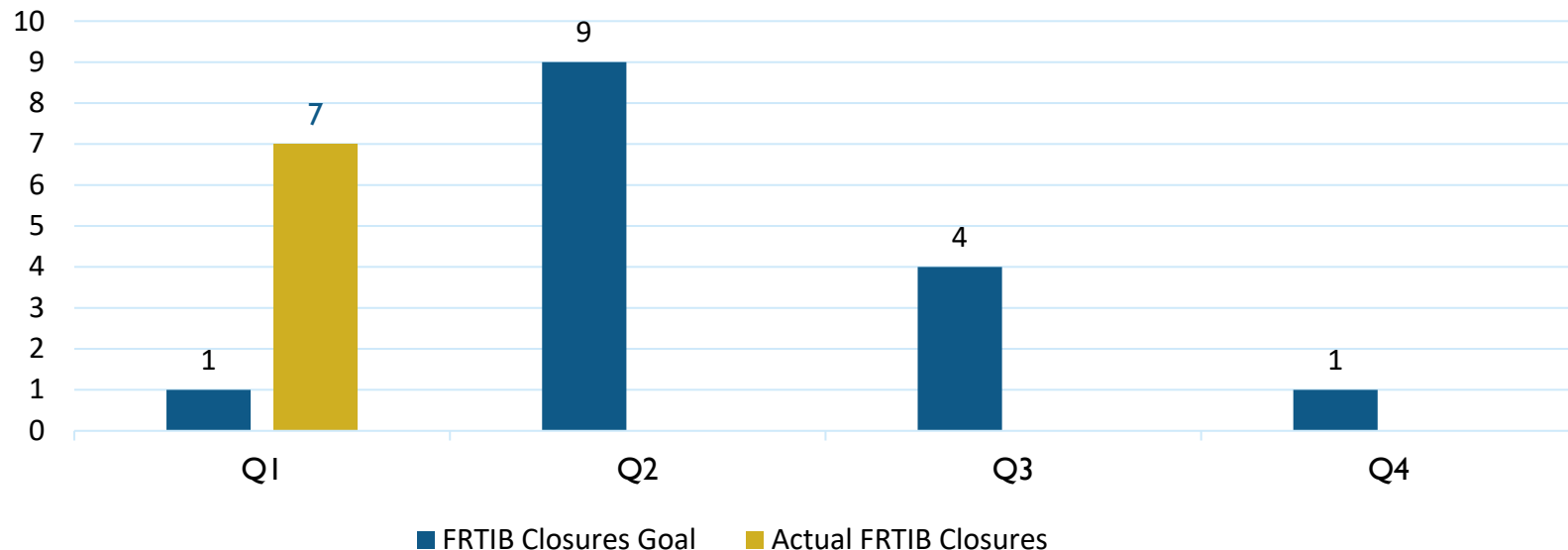
# External Audit Activity (FY2018-2024)



# External Audit Status

Audits Completed (FY2024)	Audits in Progress (FY2024)	Audits Planned (FY2024)
1. Mid-Year F/S Review (CY2023)	<div>1. Review of TSP System Implementation</div> <div>2. Use of Minority and Women-owned Asset Management Firms</div> <div>3. Decommissioning of Legacy Systems Control</div> <div><b>Auditor Legend</b> EBSA CLA Williams Adley GAO</div>	<div>1. Participant Website</div> <div>2. Mainframe Configuration and Security Controls</div> <div>3. Insider Threat Controls</div> <div>4. Account Maintenance</div> <div>5. Payroll Service Provider TSP Operations</div> <div>6. Mobile Device and Security Governance Review</div> <div>7. FISMA (FY2024)</div> <div>8. Annual F/S Audit (CY2023)</div> <div>9. Mid-Year F/S Review (CY2024)</div>

# FY2024 FRTIB Audit Remediation Closures



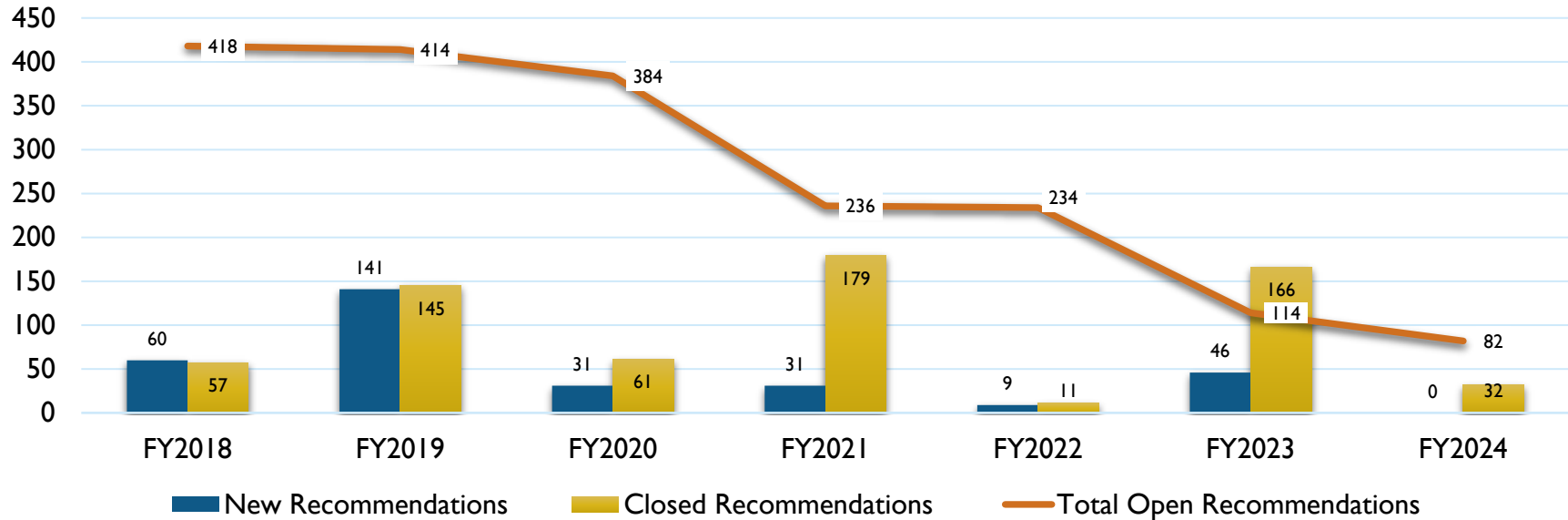
Our FY2024 goal is to have at least 15 closures.

Includes: Dept. of Labor (EBSA), Financial Statement Audit, GAO\*, FISMA, and 2015/2016 External Assessment\*.

\*FRTIB leadership closed all open External Assessment findings and recommendations via a signed memorandum.

OEA closed all open GAO audit findings and recommendations.

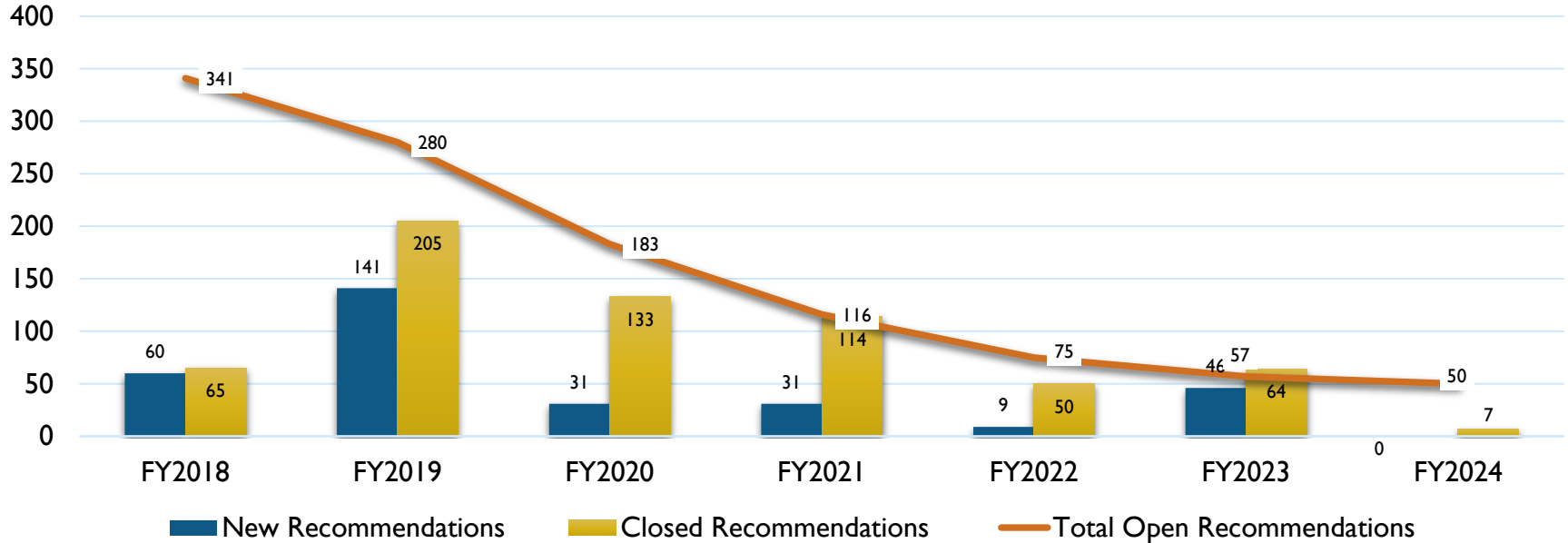
# External Auditor Recommendations FY2018 – FY2024



Auditor activity includes external audit results from the Dept. of Labor (EBSA), Financial Statement Audit, GAO\*, FISMA, and 2015/2016 External Assessment\*.

\*FRTIB leadership closed all open External Assessment findings and recommendations via a signed memorandum. OEA closed all open GAO audit findings and recommendations.

# FRTIB Audit Remediation FY2018 – FY2024



Auditor activity includes external audit results from the Dept. of Labor (EBSA), Financial Statement Audit, GAO, FISMA, and 2015/2016 External Assessment. FRTIB activity includes audit remediation results determined by the OCFO Audit Management Division.

# Questions?