Internal Audit Update

Presented By Barbara Holmes, Chief Audit Executive August 27, 2024









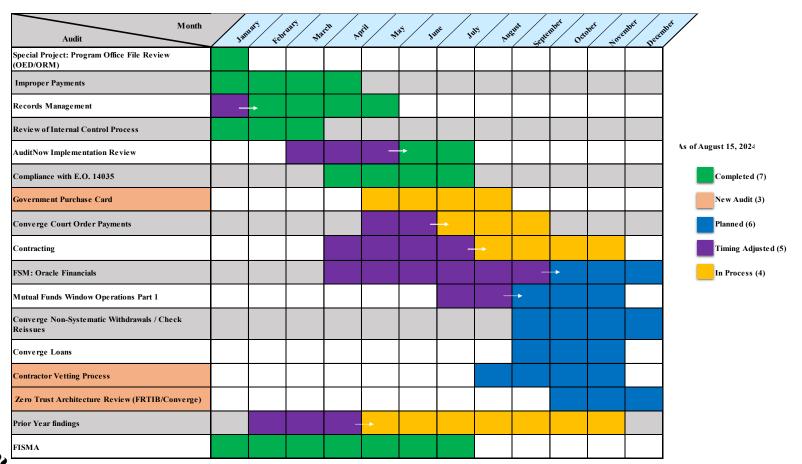


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CY2024 Internal Audit Plan



AuditNow/ServiceNow Implementation Review

Objective:

- Review of the transition from AuditNow to the ServiceNow Integrated Risk Management (IRM) module.
- Ensure that all audit findings were captured and input into the new system.

Scope:

All external AuditNow legacy findings.

Findings:

 Based on our testing we found that while all audit findings were transferred, the closed audit findings did not contain complete information.

Compliance w/Executive Order 14035 Audit

Objective:

- Verify alignment with E.O. 14035 mandates
- Measure outcomes against DEIA goals

Scope:

January 1, 2023 through December 31, 2023

Findings:

• DEIA Goal Action Progress: Four goals, with a total of 14 actions – all at level 2, except for four which remain at level 1.



Status of Internal Audit Findings Calendar Years 2018 – 2024

Status by Year	2018	2019	2020	2021	2022	2023	2024	Total by Status
Closed:	32	5	5	4	0	0	0	46
In Process:	0	0	1	0	1	4	1	7
Open:	0	0	0	2	5	0	4	11
Total by Year:	32	5	6	6	6	4	5	64

Definitions:

Closed: IA has reviewed remediation documentation and considers the finding closed.

In Process: Currently under IA review.

Open: Finding has not been remediated yet.

^{*}Note: Previous audit findings (2020) were not risk rated consistently.



Other Internal Audit Activities

 Started additional IT audit work. First audit is related to onboarding/vetting process for contractors and related background checks

Starting to plan for the 2025 Internal Audit plan

Actively working to close prior year audit findings

Developing a process flow for the Fraud, Waste and Abuse hotline



Questions?

