

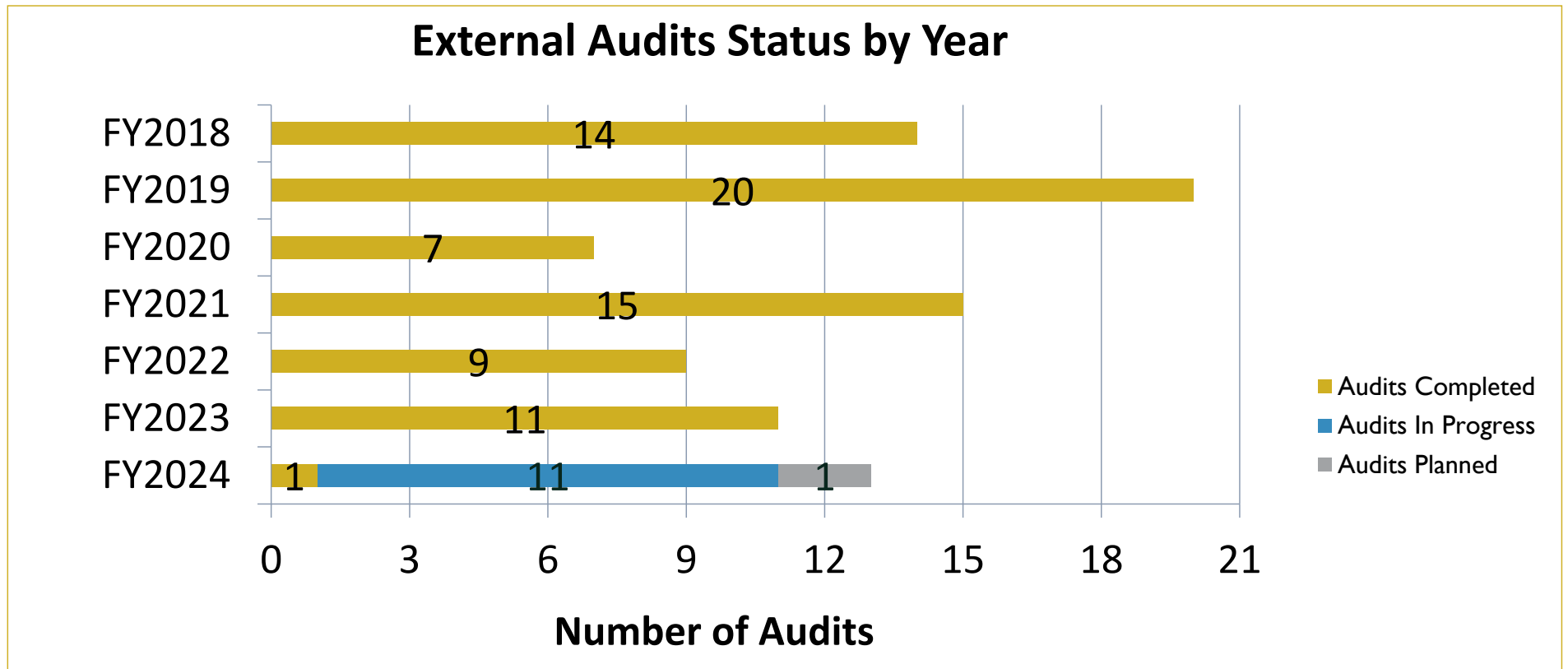
# Quarterly External Audit and Remediation Status

Presented By  
Trevor Williams, Chief Financial Officer  
April 23, 2024

# Agenda

Topic	Slide
FY 2024 Quarterly Budget Status	3
FY 2024 Budget Execution	4
Questions	5

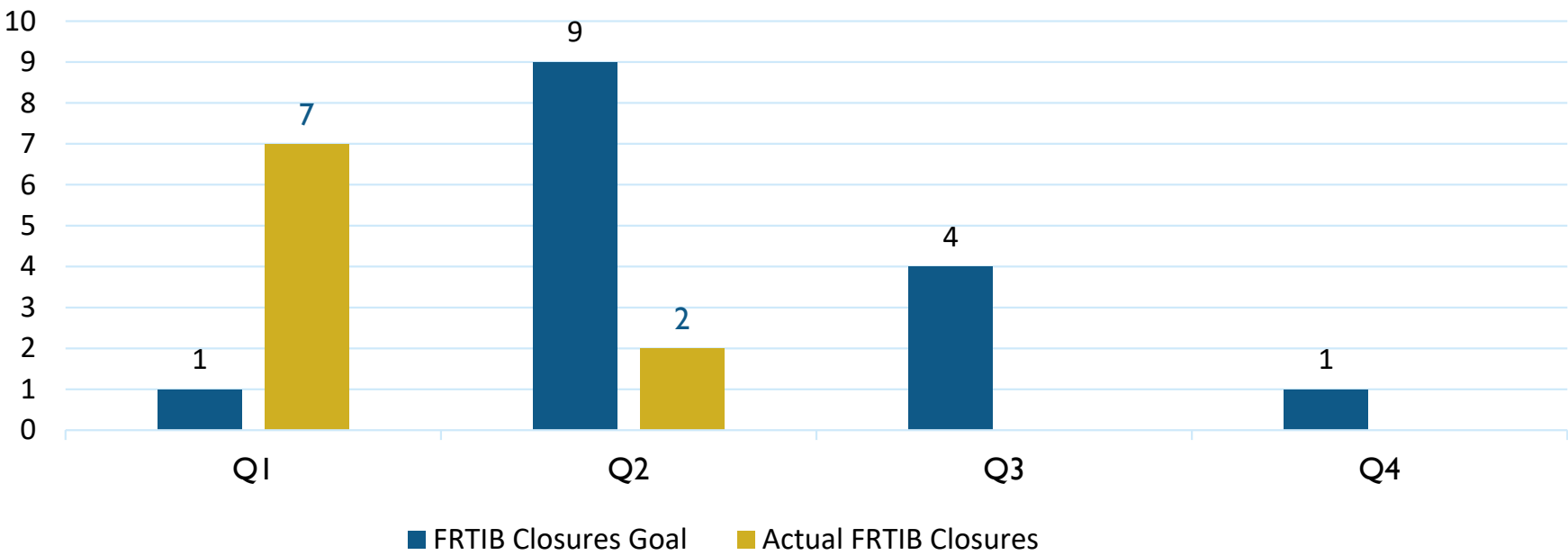
# External Audit Activity (FY2018-2024)



# External Audit Status

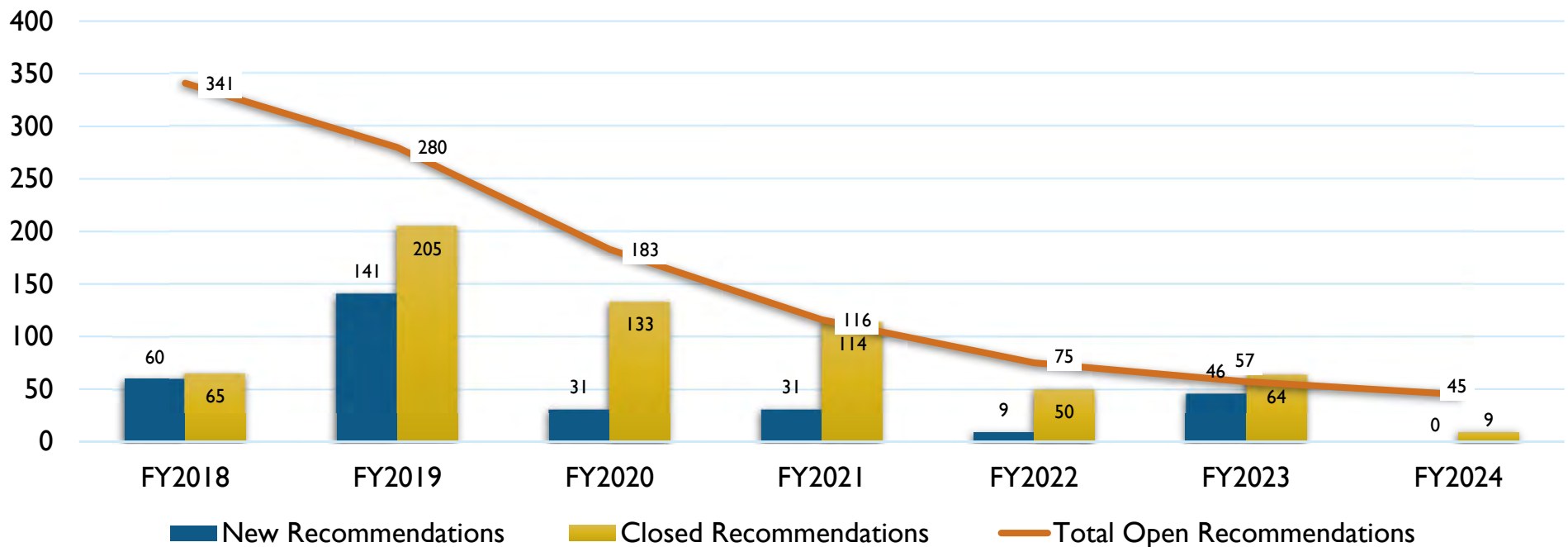
Audits Completed (FY2024)	Audits in Progress (FY2024)	Audits Planned (FY2024)
I. Mid-Year F/S Review (CY2023)	<ol style="list-style-type: none"> <li>Review of TSP System Implementation</li> <li>Use of Minority and Women-owned Asset Management Firms</li> <li>Decommissioning of Legacy Systems Control</li> <li>Participant Website</li> <li>Mainframe Configuration and Security Controls</li> <li>Insider Threat Controls</li> <li>Account Maintenance</li> <li>Payroll Service Provider TSP Operations</li> <li>Mobile Device and Security Governance Review</li> <li>Annual F/S Audit (CY2023)</li> <li>FISMA (FY 2024)</li> </ol>	<p>I. Mid-Year F/S Review (CY2024)</p> <div data-bbox="1541 932 1791 1114"> <p><b>Auditor Legend</b></p> <p>EBSA CLA Williams Adley GAO</p> </div>

# FY2024 FRTIB Audit Remediation Closures



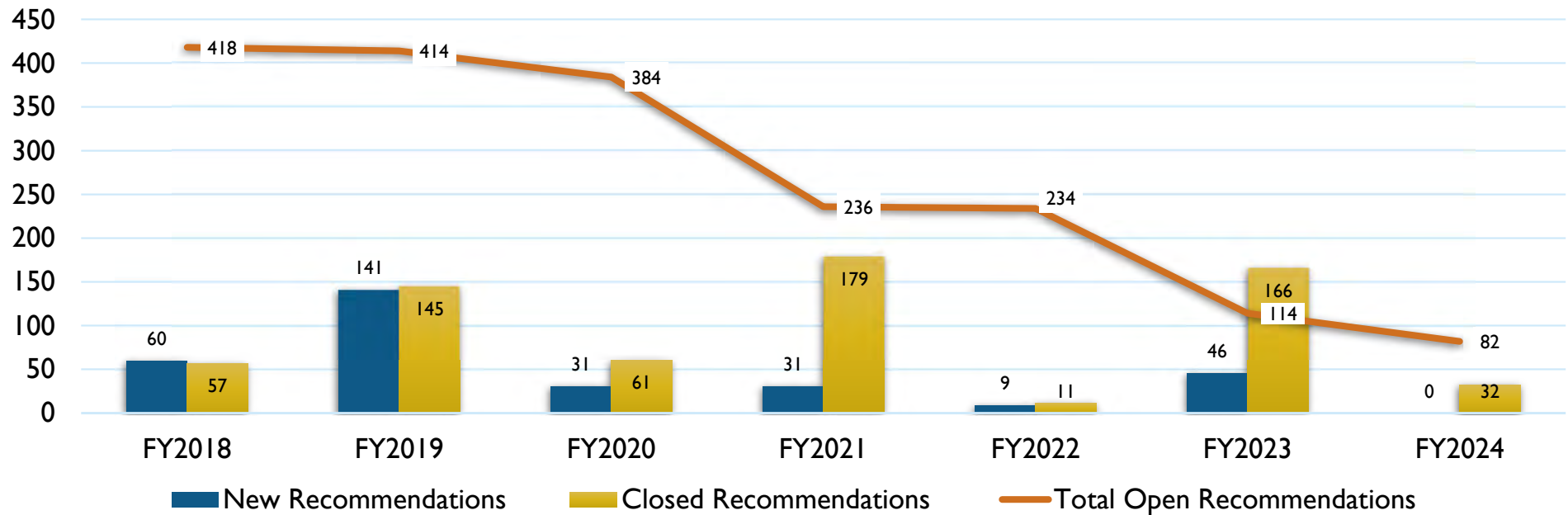
Our FY2024 goal is to have at least 15 closures.

# FRTIB Audit Remediation FY2018 – FY2024



Auditor activity includes external audit results from the Dept. of Labor (EBSA), Financial Statement Audit, GAO, FISMA, and 2015/2016 External Assessment. FRTIB activity includes audit remediation results determined by the OCFO Audit Management Division.

# External Auditor Recommendations FY2018 – FY2024



Auditor activity includes external audit results from the Dept. of Labor (EBSA), Financial Statement Audit, GAO, FISMA, and 2015/2016 External Assessment. FRTIB leadership closed all open External Assessment findings and recommendations via a signed memorandum. OEA closed all open GAO audit findings and recommendations.

# Questions?