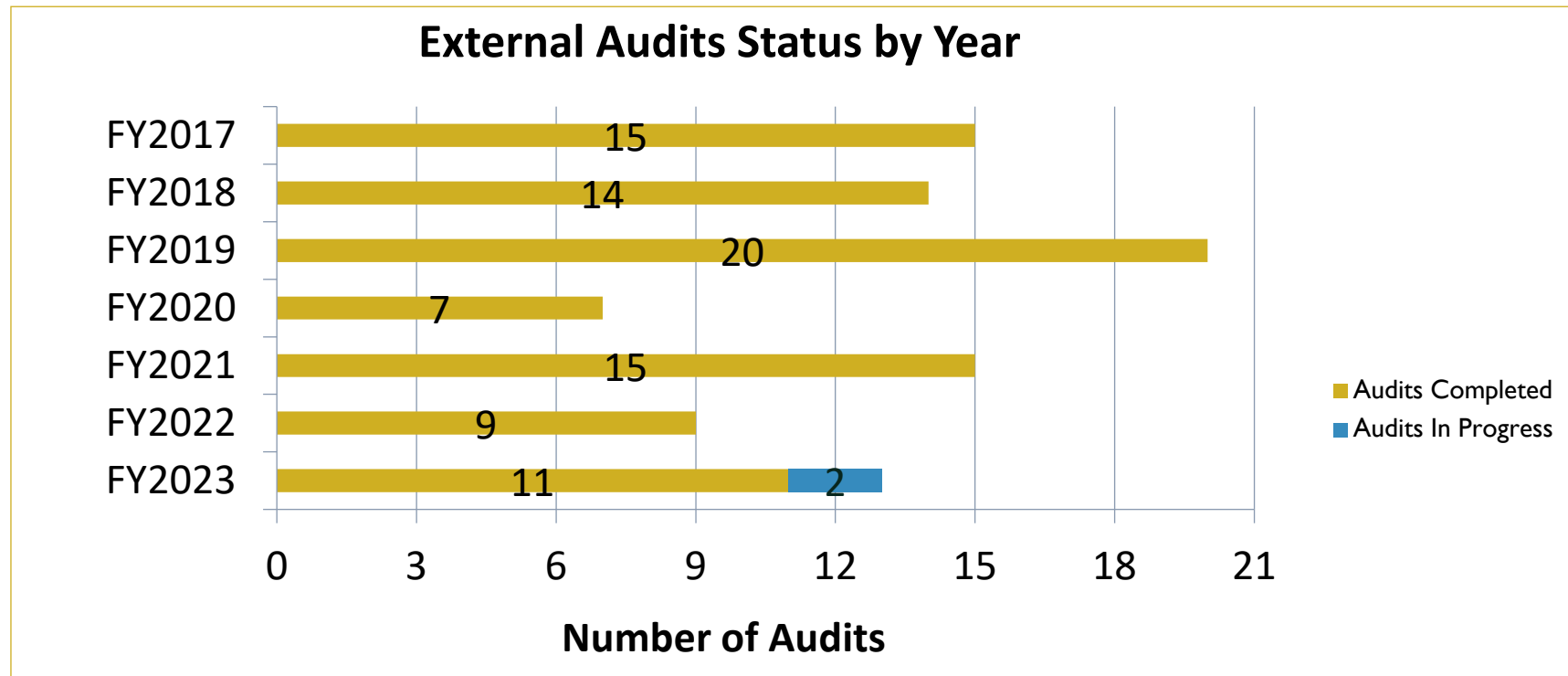


Quarterly External Audit and Remediation Status

Presented By
Trevor Williams, Chief Financial Officer
October 24, 2023

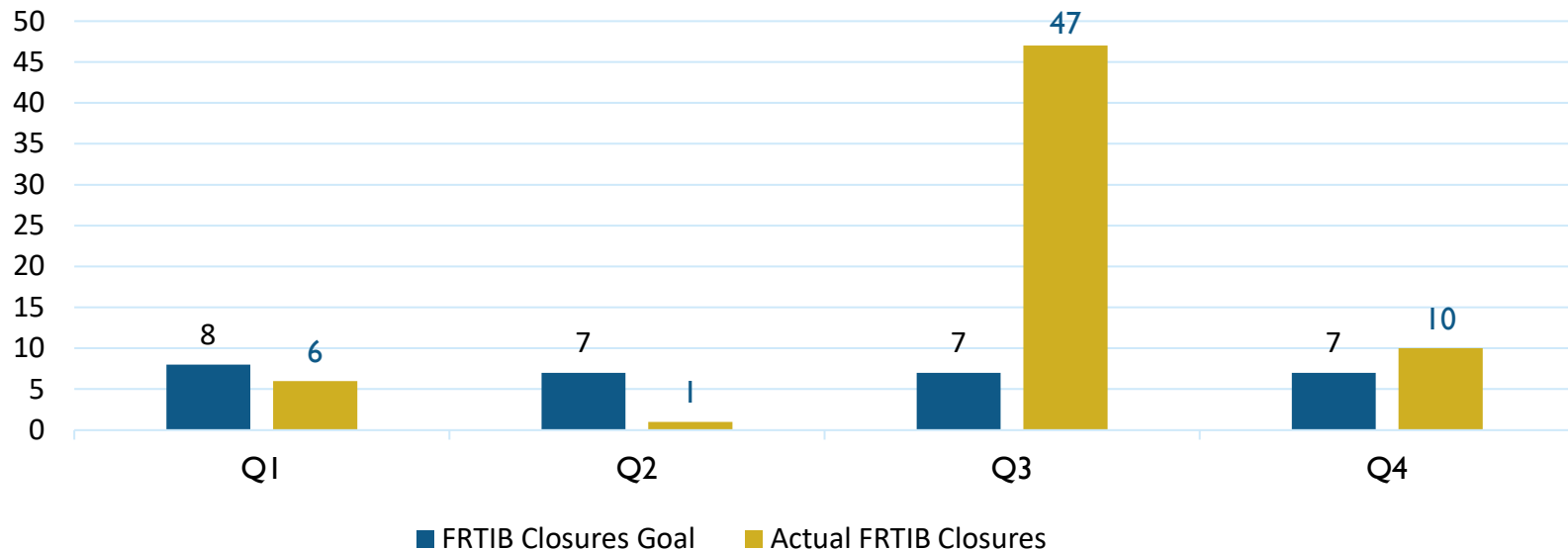
External Audit Activity (FY2017-2023)



External Audit Status

Audits Completed (FY2023)	Audits in Progress (FY2023)	Audits Planned (FY2023)
<ol style="list-style-type: none">1. Vendor Risk Management and Agency Oversight Controls2. Withdrawals3. Computer Access and Data Security4. Information Protection Processes5. Loan Operations6. Status of Prior Year Findings7. Investment Management Operations - State Street8. Detection and Monitoring9. Participant Support/Contact Center Operations10. Annual F/S Audit (CY 2022)11. FISMA (FY2023)	<ol style="list-style-type: none">1. Review of TSP System Implementation2. Mid-Year F/S Review (CY2023)	<div>Auditor Legend EBSA CLA Williams Adley GAO</div>

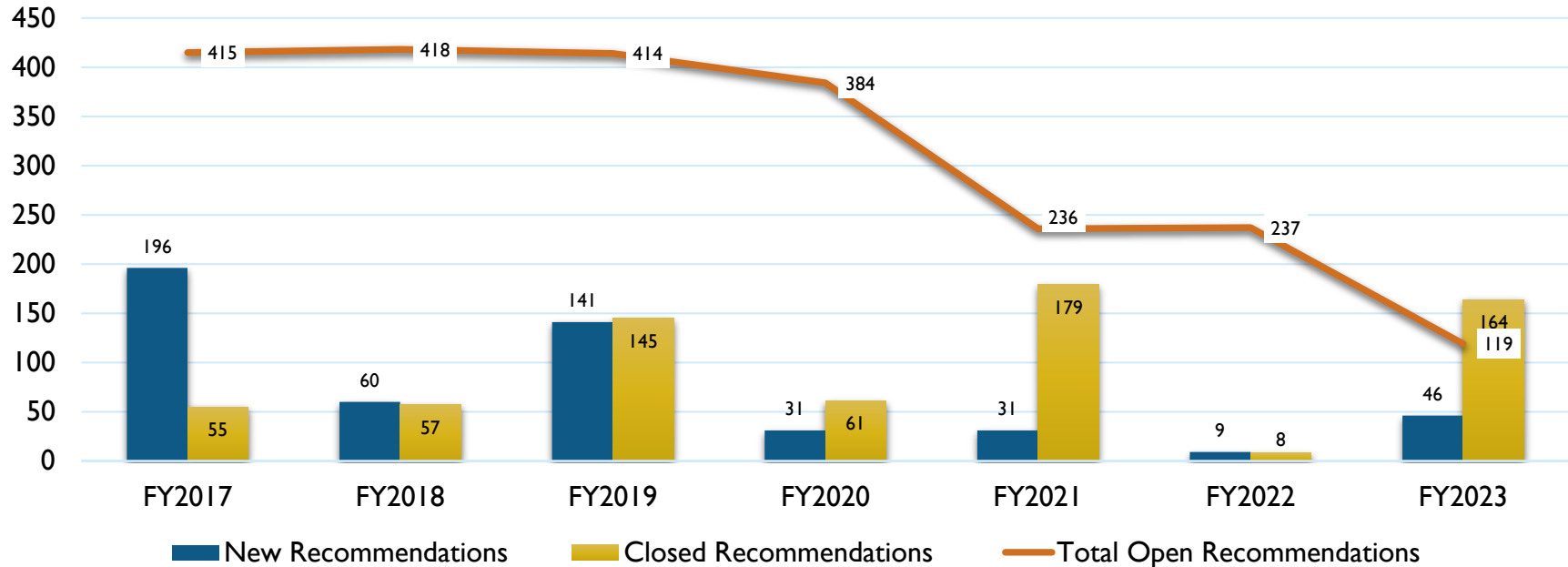
FY2023 FRTIB Audit Remediation Closures



Our FY2023 goal was to have at least 29 closures.

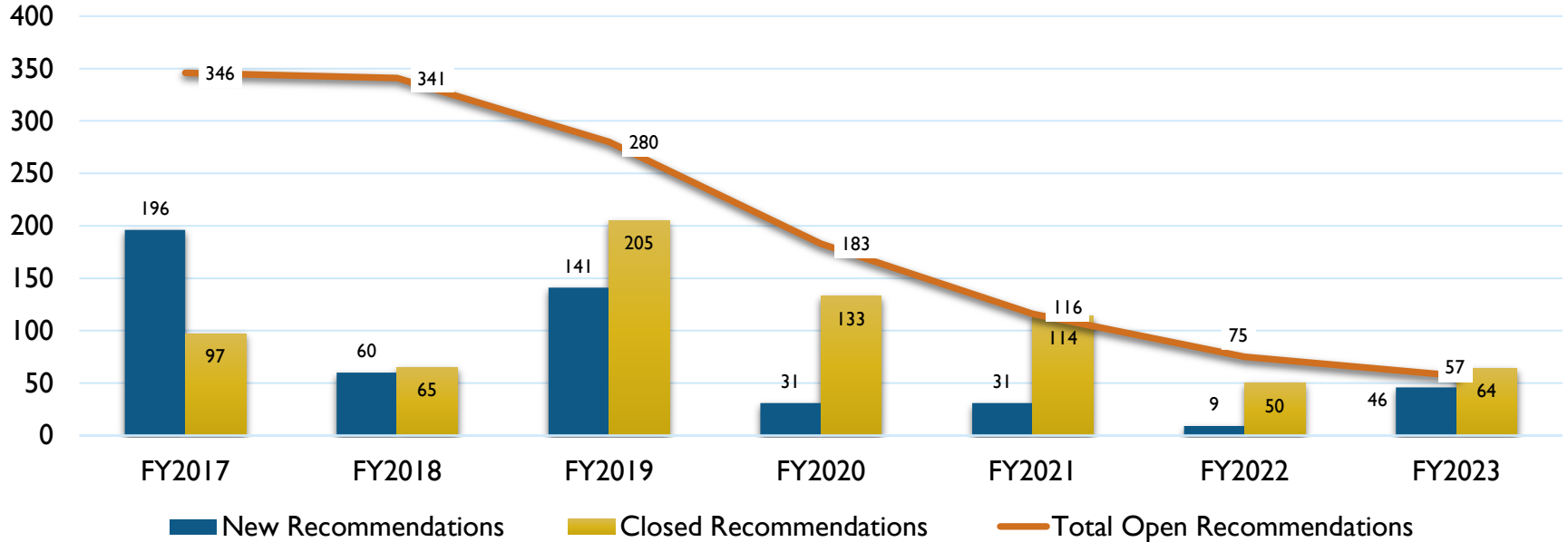
Includes: Dept. of Labor (EBSA), Financial Statement Audit, GAO, FISMA, and 2015/2016 External Assessment

External Auditor Recommendations FY2017 – FY2023



Auditor activity includes external audit results from the Dept. of Labor (EBSA), Financial Statement Audit, GAO, FISMA, and 2015/2016 External Assessment. FRTIB activity includes audit remediation results determined by the OCFO Audit Management Division.

FRTIB Audit Remediation FY2017 – FY2023



Auditor activity includes external audit results from the Dept. of Labor (EBSA), Financial Statement Audit, GAO, FISMA, and 2015/2016 External Assessment. FRTIB activity includes audit remediation results determined by the OCFO Audit Management Division.

Questions?