

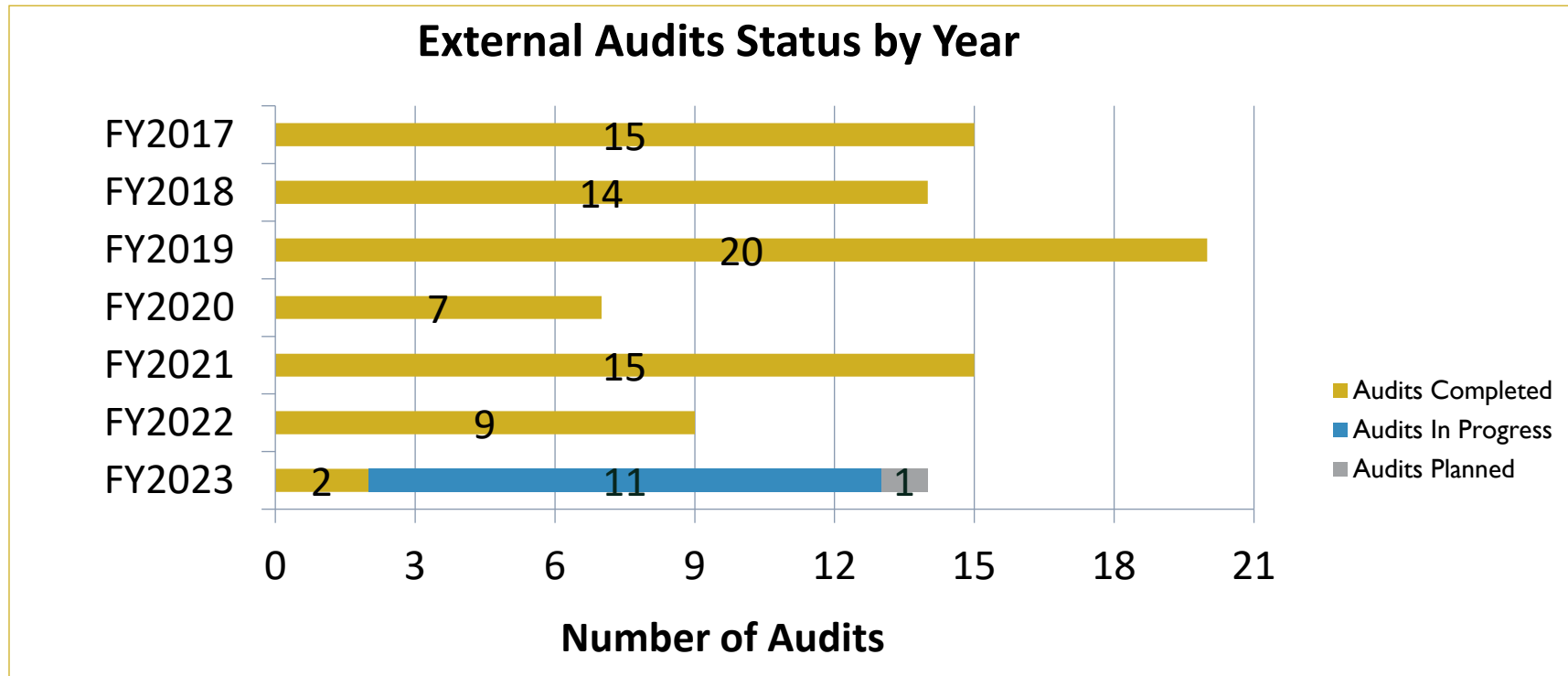
Quarterly External Audit and Remediation Status

Presented By
Suzanne Tosini, Chief Financial Officer (Acting)
July 25, 2023

Agenda

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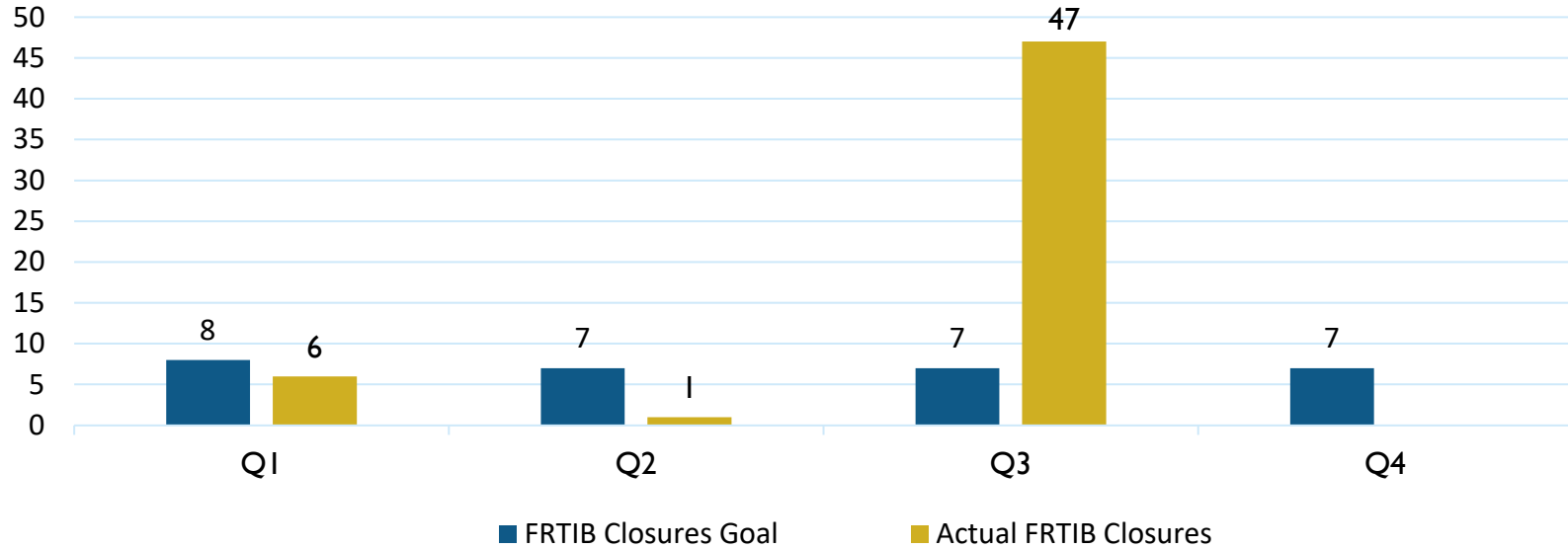
External Audit Activity (FY2017-2023)



External Audit Status

Audits Completed (FY2023)	Audits in Progress (FY2023)	Audits Planned (FY2023)
<ul style="list-style-type: none">1. Vendor Risk Management and Agency Oversight Controls2. Withdrawals	<ul style="list-style-type: none">1. Computer Access and Data Security2. Information Protection Processes3. Loan Operations4. Status of Prior Year Findings5. Investment Management Operations - State Street6. Detection and Monitoring7. Participant Support/Contact Center Operations8. Annual F/S Audit (CY 2022)9. Review of TSP System Implementation10. Use of Minority and Women-Owned Asset Management Firms11. FISMA (FY2023)	<ul style="list-style-type: none">1. Mid-Year F/S Review (CY2023) <div>Auditor Legend EBSA CLA Williams Adley GAO</div>

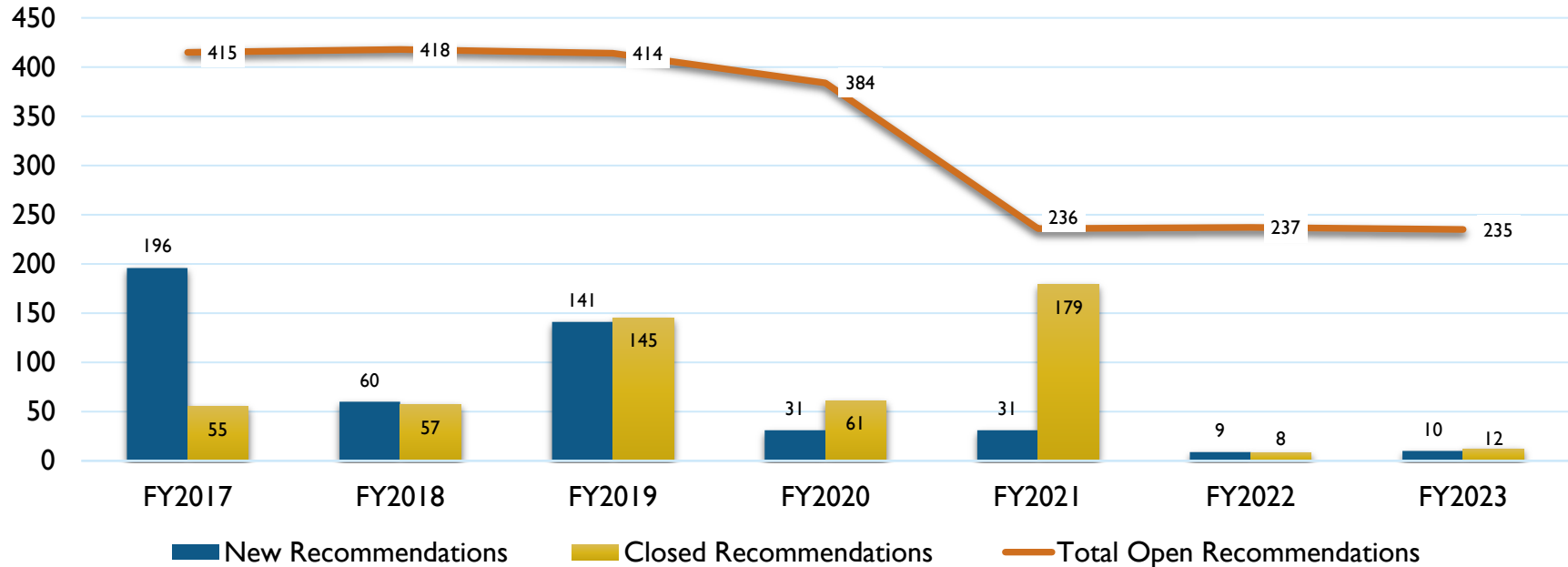
FY2023 FRTIB Audit Remediation Closures



Our FY2023 goal is to have at least 29 closures.

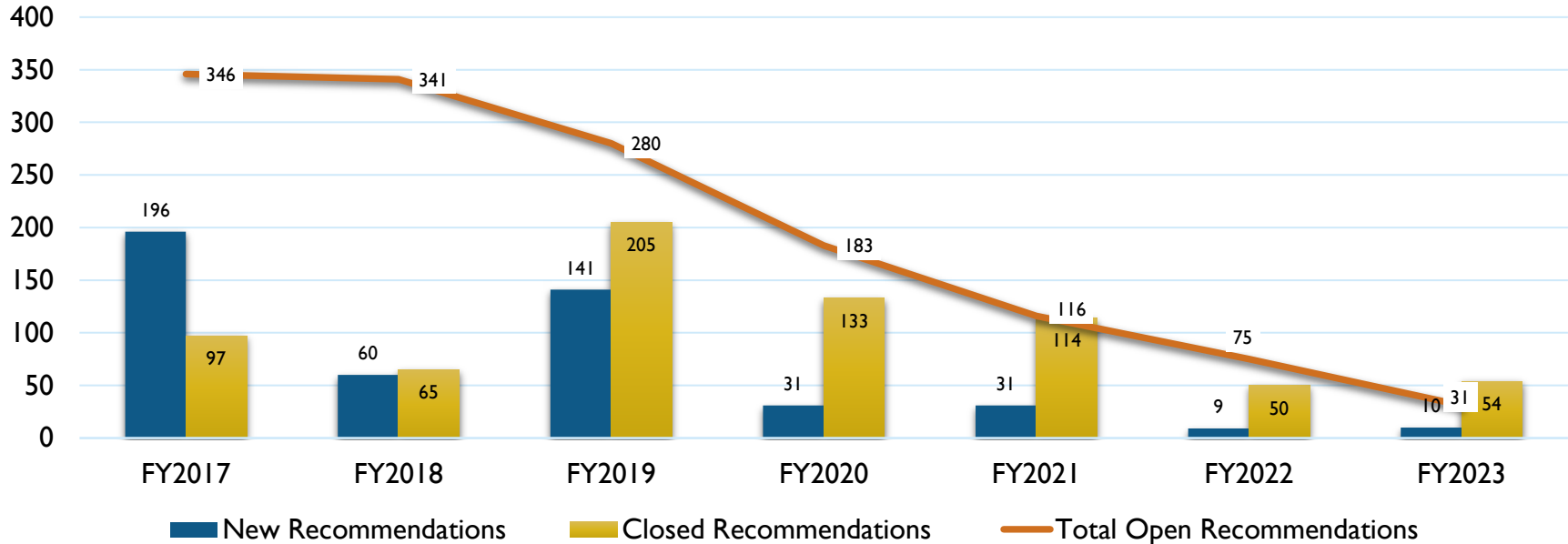
Includes: Dept. of Labor (EBSA), Financial Statement Audit, GAO, FISMA, and 2015/2016 External Assessment

External Auditor Recommendations FY2017 – FY2023



Auditor Activity includes external audit results from the Dept. of Labor (EBSA), Financial Statement Audit, GAO, FISMA, and 2015/2016 External Assessment FRTIB Activity includes audit remediation results determined by the OCFO Audit Management Division.

FRTIB Audit Remediation FY2017 – FY2023



Auditor Activity includes external audit results from the Dept. of Labor (EBSA), Financial Statement Audit, GAO, FISMA, and 2015/2016 External Assessment FRTIB Activity includes audit remediation results determined by the OCFO Audit Management Division.

Questions?