

Converge Program Update

Presented By
Tanner Nohe, Office of Participant Services
January 25, 2022

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Overview

Critical Readiness Activities	Overall Status
Data Readiness	On Track
Payroll Office Readiness	On Track
Security	On Track
Service Readiness (e.g., People, Process, Platform)	Monitoring
Participant Communications	On Track

Data Readiness

Test Load Data Progress

- Test Load 3 started the week of 12/13 and will run through the first week of February
- Test load 3 provided live data
- FRTIB is preparing for the last data conversion test load

Highlights & Key Outcomes

- Assets balanced to the unit
- Data key to daily recordkeeping and operations has been converted and validated for more than 7M accounts
- Integrations are in process between the recordkeeping system and the data lake to support processes requiring access to historical data

Payroll Office Readiness

• Connection & Interconnection Security Agreements



- All connection agreement activities are on-track to establish required procedures for receiving and processing data from payroll offices
- Draft Interconnection Security Agreements (ISAs) complete for all 20 original “Direct Connect” agencies
- 4 of 20 ISAs are fully signed, the remaining 16 are in-progress (reviewing, finalizing, routing for signature)
- We expect to have all ISAs fully signed by May 1st



• Secure Connection Testing

- Secure connection testing activities are in-progress
- 18 of 20 Secure Connections established and “Hello World” testing complete
- We expect the remaining two connection to be complete by March 1st
- Full Data testing scheduled to begin with agencies in February

**Data as of 1/14/2022*

Payroll Office Readiness (cont.) / Security

• Memorandum of Understanding Finalization / Credentialing



- Agency user identification and credentialing in-progress
 - 92 Memorandum of Understanding (MOU) documents have been signed
 - 9 MOUs are pending counter-signature by agency to be finalized
 - 12 MOUs have been identified as needing amendment to update authorizer contact information
 - The need for 2 new MOUs has been identified, drafts are in development
- 141 of 185 Agency authorizers have been ID verified; credentialing is underway
- We expect to have credentialing to be complete by the February 1st

• Security



- Full Authority to Operate on track for the end of February
- Conditional Authority to Operate granted in December

**Data as of 1/14/2022*

Service Readiness

- Executing against the recovery plan / revised schedule for the missed System Test Complete milestone
- Functional testing activities underway, majority of which are complete

Over 20 functional components were tested in December, including fund transfers and reallocations, beneficiary designations, loans, distributions and payments, and the mutual fund window

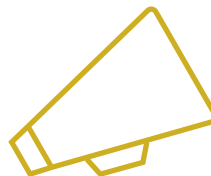
- Remediating items identified during User Acceptance Testing

*There were 30 known defects (0 critical) across all functionality entering UAT with an additional 15 defects identified (0 critical) during UAT. To date, 7 of these items have been closed, 6 are under review, and the remaining items are in process of being resolved. 35 additional changes and enhancements were requested during UAT, 16 of which are being deferred or closed and 19 of which are being assessed**


**Data as of 1/14/2022*

Participant Communications

- **Completed participant communications strategy and plan**
 - Participant communications will be distributed through a variety of channels, including US Mail, e-mail, Social Media, TSP.gov, and a digital information sharing kit that will be available to trusted third parties
- **Annual Executive Director letter announces service provider transition**
- **First participant communications campaign (“Get Excited”) to launch in February**
 - This will be followed by two additional campaigns prior to go-live: Get Ready (mid-March) and It’s Here (upon go-live)
- **Contingency communication plans are also being developed**



Converge Delivery Milestones

✓	Program Governance Model Created	12/11/20	UAT Executed	12/31/21	✓
	Approve and establish governance model for RKSA transition.		Execution of User Acceptance Testing, not including findings or remediations.		
✓	Transition Planning Complete	02/26/21	Recordkeeping Data Conversion Round 3	02/03/22	⚙️
	Finalize planning activities, including initial meetings, standup of PMO, and establishing transition governance.		Initial data conversion of non-anonymized system data in preparation for go-live.		
✓	Recordkeeping Data Conversion Round 1	06/30/21	ATO Granted	02/28/22	⚙️
	Initial data conversion test including partial data and additional anonymized data sets to validate functionality.		FRTIB validates compliance with H.27 and grants ATO for Accenture.		
✓	Recordkeeping Data Conversion Round 2	09/30/21	Business Continuity Exercise	04/08/22	⚙️
	Secondary data conversion test featuring anonymized data sets for the full scope of RKSA.		Validation of business continuity exercises outlining FRTIB operations including physical recovery, communications, and alternate logistics.		
!	System Test Complete	11/30/21	Recordkeeping Data Conversion Round 4	04/15/22	⚙️
	Final validation of the data conversion round two success and technical approval for data conversion round three (pending final ATO approval).		Final data conversion of non-anonymized system data in preparation for go-live.		
✓	ATO Submitted	11/30/21	Performance Targets Achieved	05/13/22	⚙️
	ATO submission by Accenture for FRTIB approval.		Validation final configuration of Converge meets the pre-agreed upon performance targets prior to go-live.		
<div> <div>  Go-Live Mid-2022 </div> <div> Operational go-live for RKSA including delivery of all promised features. </div> </div>					