Converge Program Update

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Overview

Critical Readiness Activities	Overall Status
Data Readiness	On Track
Payroll Office Readiness	On Track
Security	On Track
Service Readiness (e.g., People, Process, Platform)	Monitoring
Participant Communications	On Track

Note: Data as of 2/14/2022

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Data Readiness

Test Load Data Progress

- Test Load 3 was completed 2/3 as planned
- Test load 3 included PII allowing refinement of data analysis and correction processes to be executed during live conversion processing
- FRTIB is preparing for next data conversion test load to begin 2/25

Highlights & Key Outcomes

- All data essential for day-to-day operations was converted and validated
- Integrations are in process between the recordkeeping system and the data lake to support processes requiring access to historical data

Note: Data as of 2/14/2022

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Payroll Office Readiness

- **Connection & Interconnection Security Agreements**
  - ➢ All connection agreement activities are on-track to establish required procedures for receiving and processing data from payroll offices
    - ➢ 100% of Draft Interconnection Security Agreements (ISAs) complete for all original "Direct Connect" agencies
    - ➢ 55% of ISAs are in the final phases
    - ➢ The remaining are in-progress (reviewing with agency, updating, finalizing, routing for signature)
  - ➢ Target 2/28 to have all ISAs signed and fully executed

- **Secure Connection Testing**
  - ➢ Secure connection testing activities are nearly complete
  - ➢ 95% of Secure Connections established and "Hello World" testing complete
  - ➢ Deadline to complete "Hello World" testing is 2/28

Note: Data as of 2/14/2022

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Payroll Office Readiness (cont.) / Security

- **Memorandum of Understanding Finalization / Credentialing**
  - Agency user identification and credentialing in-progress
  - Memorandums of Understanding (MOU) documents have been signed for all PONs
  - 90% of Agency authorizers have been ID verified; credentialing is underway
  - Computer-based training has been rolled out for 40% of agency users
    - ✓ 57% of agency users have completed Phase 1 training.
    - ✓ Additional training will be held as credentialing progresses – planned mid-March

- **Security**
  - Authority to Operate on track for the end of February

Note: Data as of 2/14/2022

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Service Readiness

- **Executing against the recovery plan / revised schedule for the missed System Test Complete milestone**

- **Functional testing activities underway, majority of which are complete**

  Functional components tested in January included: fund transfers, UPpoint Inquiry, Agency Portal, Mutual Fund Window, Loans, Rollovers, Payments, Communications and eSignature

- **Remediating items identified during User Acceptance Testing**

  UAT conducted "Hands-on" review of UPoint, AVA Chatbot, the Mobile App, and related communications across 75 topic areas

Note: Data as of 2/14/2022

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Participant Communications

- **Distributed Annual Executive Director letter announcing service provider transition**

- **First participant communications campaign ("Get Excited") launched February 2nd**
  - ➢ Two additional campaigns to follow prior to Go-Live: Get Ready (March) and It's Here (upon Go-Live)

- **Contingency communication plans are also being developed**

The first participant communications campaign (Get Excited) kicked off with the Executive Director letter to TSP Participants

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Converge Delivery Milestones

Program Governance Model Created — 12/11/20	**UAT Executed** — 12/31/21
Approve and establish governance model for RKSA transition.	Execution of User Acceptance Testing, not including findings or remediations.
Transition Planning Complete — 02/26/21	**Recordkeeping Data Conversion Round 3** — 02/03/22
Finalize planning activities, including initial meetings, standup of PMO, and establishing transition governance.	Initial data conversion of non-anonymized system data in preparation for go-live.
Recordkeeping Data Conversion Round 1 — 06/30/21	**ATO Granted** — 02/28/22
Initial data conversion test including partial data and additional anonymized data sets to validate functionality.	FRTIB validates compliance with H.27 and grants ATO for Accenture.
Recordkeeping Data Conversion Round 2 — 09/30/21	**Business Continuity Exercise** — 04/08/22
Secondary data conversion test featuring anonymized data sets for the full scope of RKSA.	Validation of business continuity exercises outlining FRTIB operations including physical recovery, communications, and alternate logistics.
System Test Complete — 11/30/21	**Recordkeeping Data Conversion Round 4** — 04/15/22
Final validation of the data conversion round two success and technical approval for data conversion round three (pending final ATO approval).	Final data conversion of non-anonymized system data in preparation for go-live.
ATO Submitted — 11/30/21	**Performance Targets Achieved** — 05/13/22
ATO submission by Accenture for FRTIB approval.	Validation final configuration of Converge meets the pre-agreed upon performance targets prior to go-live.

Go-Live — Mid-2022
Operational go-live for Converge including delivery of all promised features.

Legend:
- ✓ Complete
- ⚙ On Track
- ! At Risk
- ! Missed

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Questions?

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