

Federal Retirement Thrift Investment Board

OPEN Data Plan

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I. About FRTIB

The Federal Retirement Thrift Investment Board (FRTIB) is charged with administering the Thrift Savings Plan solely in the interest of its participants and beneficiaries. FRTIB strives to maintain a well-balanced presumption of openness whenever possible to inform the public without jeopardizing the safety, security, and privacy of the protected information of its participants and beneficiaries. The Agency proactively releases a number of records on its website pertaining to participant and beneficiary demographics, financial statements, participant and employee satisfaction surveys, investment benchmark reviews, and responses to certain Freedom of Information Act (FOIA) requests.

II. Open Data

OMB's Open Data Policy (M-13-13) requires federal agencies to share appropriate data sets to increase public participation in government, promote transparency and accountability, and increase government operations' efficiency and effectiveness. As a part of the transparency initiatives, FRTIB published high value datasets at <https://www.frtib.gov/ReadingRoom/index.html> for public access.

In June 2020, FRTIB reviewed its current open data inventory and developed a comprehensive Open Data Plan for an Agency-wide approach to providing datasets for public use. Key criteria for dataset consideration included: potential public interest, availability of datasets, capability for export, and privacy risks. FRTIB will monitor public requests for data, including FOIA requests, and assess new datasets on a continuous basis to ensure the data provided by the Agency continues to advance government transparency, accountability, and effectiveness as directed by the OPEN Government Data Act.

III. Customer Outreach and Collaboration

FRTIB remains committed to our core values of being participant-focused, acting with integrity, and leveraging diversity to increase collaboration to achieve greater outcomes. To that end, FRTIB encourages participants, stakeholders, and other interested parties to submit feedback on existing datasets and tools, and to request new FRTIB datasets pursuant to OMB's Open Data Policy.

The Office of External Affairs (OEA) develops and manages external communications strategies to Congress, the media, ETAC, and other Federal agencies at a policy level in support of FRTIB strategies and programs. The FRTIB typically responds to congressional and other sensitive correspondence within thirty (30) business days of receipt at FRTIB.

In addition to inquiries from the public, Congress, and other external stakeholders, FRTIB derives data demands, and to an extent dataset availability, based on FOIA requests received. Frequently requested records and FOIA reports are readily available on FRTIB's Reading Room.

IV. Publicly Available Data Sets on FRTIB.gov

The data sets below reflect those determined to be the most valuable to the public and appropriate for publication following FRTIB's initial Open Data Plan review. These data sets are available for download on FRTIB's Reading Room at <https://www.frtib.gov/ReadingRoom/index.html>.

FRTIB Financial Statements

These reports provide annual statements on net assets available for benefits, outlines changes to the net assets available, and includes an independent auditor's findings on the report. Financial statements are provided in PDF format which includes both financial tables and written explanations of the data included.

Share Price Data

Periodic reviews of plan offering competitiveness and share acquisition pricing is available via FRTIB's Open Data Plan. Available data includes share price data in CSV format and third-party competitiveness assessments in PDF format with included financial data in tables and graphs. Share price data is updated daily and is available at <https://www.tsp.gov/InvestmentFunds/FundPerformance/index.html>

Participant Survey Reports

The FRTIB conducts recurring surveys of the participants in the plan to help shape the future of the Plan. Aggregated analysis of the surveys is published public via as part of FRTIB's Open Data Plan. Reports are in PDF format with included data tables.

Demographic Reports

Demographic reports on TSP participant behavior and investment manager diversity are reported annually to Congress and available to the public via FRTIB's Open Data Plan. Reports are in PDF format with included data tables.

FOIA Information

Frequently requested record, Chief FOIA Officer Reports, FOIA Quarterly Reports, and annual FOIA Reports are all available from FRTIB. Reports are available in a range of formats, including machine-readable XML files.

V. Other Data Sets

Open Financial Exchange (OFX)

While not a public data set, in the spirit of the Open Data Act, FRTIB makes personal account data available to authenticated participants in an open format through an OFX interface. This allows participants to securely view their personal account data using their choice of third-party financial management tools that also support this open format.

VI. Privacy

FRTIB is committed to protecting all Personally Identifiable Information (PII) under its control. The Agency has a dedicated Privacy Division within the Office of General Counsel. The mission of the

Privacy Division is to preserve and enhance privacy protections for all individuals who entrust their personal information to the FRTIB by embedding and enforcing privacy protections throughout all of FRTIB's activities. The Privacy Division implements the requirements of the Privacy Act of 1974, *as amended*; the E-Government Act of 2002; and the Federal Information Security Modernization Act (FISMA), as well as policy directives and best practices issued in furtherance of those Acts. More information about the Privacy Division and privacy protections employed by the FRTIB is available at www.tsp.gov/privacy.

VII. Records Management

FRTIB has a Records Management program that oversees the agency's management of both paper and electronic records. The FRTIB record schedule is available on the National Archives website. FRTIB provides Records Management training to all employees and contractors. The Records Management Team and procurement officials ensure recordkeeping requirements are included in all contracts.

VIII. Controlled Unclassified Information (CUI)

The Controlled Unclassified Information (CUI) program leads efforts to standardize and assess the management of CUI through oversight, policy development, guidance, education, and reporting. The CUI policy establishes the Agency's policy for managing Controlled and Unclassified records and is applicable to all employees and contractors who handle, process, store, use, share, or receive FRTIB information, and is intended to aid in handling, and dissemination of unclassified information. FRTIB is responsible for ensuring that this data is properly safeguarded.

IX. Regular Review

Per guidance provided by the Office of Management and Budget, FRTIB will regularly review and update this Open Data Plan to ensure it is properly maintained as an accurate list of priority data assets that the Agency considers especially valuable to the public interest.