

### 3. Agency Component Abbreviations

| Component Abbreviation | Component Name |
|------------------------|----------------|
|                        |                |
|                        |                |
|                        |                |

**IV. EXEMPTION 3 STATUTES**

| Statute   | Type of Information Withheld   | Case Citation  | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
|---|--|--|--------------------|---|---|
| 41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1)) | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004). | FRTIB              | 1   | 1   |
|   |  |  |                    |   |   |

**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
|--------------------|---|--|---|---|
| FRTIB              | 6   | 30   | 32  | 4   |
|                    |   |  |   | 0   |
| AGENCY OVERALL     | 6   | 30   | 32  | 4   |

**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions |   |                   |                    |                                  |  |                   |                   |                               | TOTAL |
|--------------------|-----------------------|--|--|---|---|-------------------|--------------------|----------------------------------|--|-------------------|-------------------|-------------------------------|-------|
|                    |                       |  |  | No Records  | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other *Explain in Chart Below |       |
| FRTIB              | 13                    | 6  | 4  | 5   | 0   | 2                 | 0                  | 1                                | 0                                      | 0                 | 1                 | 0                             | 32    |
|                    |                       |  |  |   |   |                   |                    |                                  |  |                   |                   |                               | 0     |
| AGENCY OVERALL     | 13                    | 6  | 4  | 5   | 0   | 2                 | 0                  | 1                                | 0                                      | 0                 | 1                 | 0                             | 32    |

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS  
 BASED ON REASONS OTHER THAN EXEMPTIONS"**

| Agency /<br>Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times<br>"Other" Reason<br>Was Relied Upon | TOTAL |
|-----------------------|--|--|-------|
| FRTIB                 | N/A  | 0  | 0     |
| AGENCY<br>OVERALL     |  |  | 0     |
|                       |  |  |       |

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|--------------------|-------|-------|-------|-------|-------|-------|----------|----------|----------|----------|----------|----------|-------|-------|
| FRTIB              | 0     | 0     | 1     | 0     | 1     | 4     | 0        | 0        | 0        | 0        | 1        | 0        | 0     | 0     |
|                    |       |       |       |       |       |       |          |          |          |          |          |          |       |       |
| AGENCY OVERALL     | 0     | 0     | 1     | 0     | 1     | 4     | 0        | 0        | 0        | 0        | 1        | 0        | 0     | 0     |

**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
|--------------------|--|---|--|--|
| FRTIB              | 0  | 1   | 1  | 0  |
|                    |  |   |  | 0  |
| AGENCY OVERALL     | 0  | 1   | 1  | 0  |

**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
|--------------------|---------------------------|---|---|--|-------|
| FRTIB              | 1                         | 0   | 0   | 0  | 1     |
|                    |                           |   |   |  | 0     |
| AGENCY OVERALL     | 1                         | 0   | 0   | 0  | 1     |







**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|--------------------|---|--|-------|
| FRTIB              | N/A   | 0  | 0     |
| AGENCY OVERALL     |   |  | 0     |
|                    |   |  |       |

**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|
|                    |                       |                        |                       |                        |
|                    |                       |                        |                       |                        |
| AGENCY OVERALL     | 20.00                 | 20.00                  | 20.00                 | 20.00                  |















**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

| Agency / Component | SIMPLE         |                       |                        | COMPLEX        |                       |                        | EXPEDITED PROCESSING |                       |                        |
|--------------------|----------------|-----------------------|------------------------|----------------|-----------------------|------------------------|----------------------|-----------------------|------------------------|
|                    | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending       | Median Number of Days | Average Number of Days |
|                    |                |                       |                        |                |                       |                        |                      |                       |                        |
|                    |                |                       |                        |                |                       |                        |                      |                       |                        |
| AGENCY OVERALL     | 4              | 21                    | 21                     | 0              | N/A                   | N/A                    | 0                    | N/A                   | N/A                    |

**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

| Agency / Component |                        | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th        | 3rd        | 2nd        | Oldest Request |
|--------------------|------------------------|---------------------|-----|-----|-----|-----|-----|------------|------------|------------|----------------|
|                    | Date of Receipt        |                     |     |     |     |     |     |            |            |            |                |
|                    | Number of Days Pending |                     |     |     |     |     |     |            |            |            |                |
| AGENCY OVERALL     | Date of Receipt        | N/A                 | N/A | N/A | N/A | N/A | N/A | 2019-09-30 | 2019-09-30 | 2019-09-27 | 2019-09-19     |
|                    | Number of Days Pending | 0                   | 0   | 0   | 0   | 0   | 0   | 1          | 1          | 1          | 8              |

**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
|--------------------|----------------|---------------|-------------------------------------|--------------------------------------|---|
|                    |                |               |                                     |                                      |   |
|                    |                |               |                                     |                                      |   |
| AGENCY OVERALL     | 0              | 0             | N/A                                 | N/A                                  | 0   |

**VIII.B. REQUESTS FOR FEE WAIVER**

| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
|--------------------|----------------|---------------|-------------------------------------|--------------------------------------|
|                    |                |               |                                     |                                      |
|                    |                |               |                                     |                                      |
| AGENCY OVERALL     | 0              | 0             | N/A                                 | N/A                                  |

**IX. FOIA PERSONNEL AND COSTS**

| Agency / Component | PERSONNEL                            |   |  | COSTS            |                          |             |
|--------------------|--------------------------------------|---|--|------------------|--------------------------|-------------|
|                    | Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| FRTIB              | 1                                    | 0   | 1                                      | \$91,740.00      | \$0.00                   | \$91,740.00 |
|                    |                                      |   | 0                                      |                  |                          | \$0.00      |
| AGENCY OVERALL     | 1                                    | 0   | 1                                      | \$91,740.00      | \$0.00                   | \$91,740.00 |

**X. FEES COLLECTED FOR PROCESSING REQUESTS**

| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
|--------------------|--------------------------------|---------------------------|
| FRTIB              | \$0.00                         | 0.00%                     |
|                    |                                |                           |
| AGENCY OVERALL     | \$0.00                         | 0.00%                     |



**XI.A. NUMBER OF TIMES SUBSECTION (C) USED**

| Agency / Component | Number of Times Subsection Used |
|--------------------|---------------------------------|
| FRTIB              | 0                               |
|                    |                                 |
| AGENCY OVERALL     | 0                               |

## XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS

| Agency / Component | Number of Records Posted by the FOIA Office | Number of Records Posted by Program Offices |
|--------------------|---|---|
| FRTIB              | 0   | 0   |
|                    |   |   |
| AGENCY OVERALL     | 0   | 0   |

## XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
|--------------------|--|---|
| FRTIB              | 3  | 0   |
|                    |  |   |
| AGENCY OVERALL     | 3  | 0   |

**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

| Agency / Component | Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year | Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year |
|--------------------|---|--|--|---|
| FRTIB              | 0   | 0  | 0  | 0   |
|                    |   |  |  | 0   |
| AGENCY OVERALL     | 0   | 0  | 0  | 0   |



**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT --  
REQUESTS RECEIVED AND PROCESSED**

| Agency / Component | NUMBER OF REQUESTS <u>RECEIVED</u>   |   | NUMBER OF REQUESTS <u>PROCESSED</u>   |  |
|--------------------|--|---|---|--|
|                    | Number Received<br>During Fiscal Year from<br>Last Year's Annual<br>Report | Number Received<br>During Fiscal Year from<br>Current Annual Report | Number Processed<br>During Fiscal Year from<br>Last Year's Annual<br>Report | Number Processed<br>During Fiscal Year from<br>Current Annual Report |
| FRTIB              | 39   | 30  | 44  | 32   |
|                    |  |   |   |  |
| AGENCY OVERALL     | 39   | 30  | 44  | 32   |

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
|--------------------|--|---|
| FRTIB              | 6  | 3   |
|                    |  |   |
| AGENCY OVERALL     | 6  | 3   |

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

| Agency / Component | NUMBER OF APPEALS <u>RECEIVED</u>                                 |   | NUMBER OF APPEALS <u>PROCESSED</u>                                 |  |
|--------------------|---|---|--|--|
|                    | Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| FRTIB              | 1   | 1   | 1  | 1  |
|                    |   |   |  |  |
| AGENCY OVERALL     | 1   | 1   | 1  | 1  |



**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
|--------------------|---|--|
| FRTIB              | 0   | 0  |
|                    |   |  |
| AGENCY OVERALL     | 0   | 0  |

